

F.No.K-16015/04/2017/AMRUT-II

Government of India
Ministry of Urban Development
AMRUT Division

Nirman Bhawan, New Delhi

Dated: 11th April, 2017

OFFICE MEMORANDUM

Subject: Minutes of 17th Meeting of Apex Committee under the Atal Mission for Rejuvenation and Urban Transformation (AMRUT) regarding.

The undersigned is directed to forward herewith the minutes of 17th meeting of Apex Committee held under the Atal Mission for Rejuvenation and Urban Transformation (AMRUT) on 15.03.2017 for information and necessary action.

Encls. As above.


(Rajesh Kumar)

Under Secretary (AMRUT)

Telephone: 011-23061407

To,

1. Secretary (Department of Expenditure).
2. Secretary (Department of Economic Affairs).
3. Principal Advisor (HUD), NITI Ayog
4. Secretary (Drinking Water & Sanitation).
5. Secretary (Housing & Urban Poverty Alleviation).
6. Secretary (Environment, Forest & Climate Change).
7. Additional Secretary (UD), MoUD.
8. Additional Secretary (SC), MoUD.
9. Joint Secretary (PF-II), Department of Expenditure.
10. Joint Secretary & FA, MoUD.
11. Joint Secretary (SBM), MoUD.
12. OSD (UT), MoUD.
13. Adviser (CPHEEO).
14. Chief Planner, TCPO, Vikas Bhawan, IP Estate, New Delhi.
15. Director, NIUA, India Habitat Centre, New Delhi.
16. Secretary, Urban Development Department, Government of Uttar Pradesh, Lucknow.
17. Secretary (UD-II), UD Department, Govt of Maharashtra, Mumbai- 400032, Maharashtra.
18. Principal Secretary, Municipal Administration & Water Supply, Government of Tamil Nadu.

Continue

19. Principal Secretary, Urban Administration and Development, Govt of Chhattisgarh.
20. Secretary (UD) /Director of Local Bodies (DLB),C-Wing, 9th floor, Delhi Secretariat, Delhi-110002.
21. Principal Secretary to Govt. of Haryana, Urban Development, New Civil Secretariat, Haryana, Sector-17, Chandigarh-160001
22. Principal Secretary, Municipal Administration & Urban Development Department, Govt of Telangana.
23. Secretary (UD & Housing), Deptt. of UD & Housing, Govt. of Sikkim, NH-31A, Gangtok, Sikkim - 737 101.
24. Secretary (UD), Department of Urban Development & Housing, Govt. of Arunachal Pradesh, Civil Secretariat, Itanagar.
25. Secretary (UD), Urban Development Department, Government of Assam, Assam Secretariat, Dispur, Guwahati - 781 006
26. Secretary (UD), Department of Municipal Administration, Housing & Urban Development (MAHUD), Manipur Secretariat, South block, Govt. of Manipur, Imphal-795001.
27. Secretary, Planning & Urban Development, Civil Secretariat, Government of Nagaland, Kohima-797001.
28. Principal Secretary, Local Self Government Department, Govt of Kerala.
29. Commissioner cum Secretary, Urban Development Department, UT of Andaman & Nicobar Islands, Secretariat, Port Blair - 233181.
30. Principal Secretary (UD), UT of Dadra and Nagar Haveli, Secretariat, Silvassa-396220.
31. Principal Secretary (UD), Ground Floor, Secretariat, Fort Area, Moti Daman, Daman (U.T.) - 396220.
32. Commissioner cum Secretary, Urban Development Department, U.T. of Lakshadweep, Secretariat, Kavaratti - 682555.
33. Director, PHD Chamber, Progress Harmony Development, New Delhi.
34. Executive Chairman, JCDecaux Advertising India Pvt Ltd.

Copy to:

- i. PSO to Secretary (UD)
- ii. PS to JS(UD &A)
- iii. PS to Media Advisor in Office of UDM
- iv. PS to Director (AMRUT, UT), Director (UT), PS to DS (NURM).
- v. Technical Director (NIC) with request to upload the minutes on AMRUT website i.e. www.amrut.gov.in

Minutes of the 17th Meeting of Apex Committee of Atal Mission for Rejuvenation and Urban Transformation (AMRUT) held on 15.03.2017

The List of Participants is annexed.

The Joint Secretary and Mission Director welcomed Additional Secretary (Urban Development) & other members of Apex Committee and the officers from the State governments.

He outlined the Agenda for the meeting, namely consideration of the third round of SAAPs of 12 States/Union Territories of Telangana, Tamil Nadu, Uttar Pradesh, Manipur, Chhattisgarh, Maharashtra, Haryana, Sikkim, Arunachal Pradesh, Assam, Nagaland and Delhi.

2. The Committee reviewed the status of implementation of projects and reforms under the previous SAAPs approved under the Mission so far. The detailed status of implementation of projects under AMRUT is as below:

AMRUT Mission Progress: All India (Amt. in Rs. crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
20672	25182	10678	20	22853	42

3. The Joint Secretary & Mission Director recapitulated the benchmarks set by the Committee at its 14th Meeting held on 18th November 2016, which must have been achieved by the States and UTs at the time of consideration of their 3rd SAAP:

- i. Projects amounting to at least 25% against SAAP I to be contracted.
- ii. Projects amounting to at least 50% of total approvals (SAAP I & II) to have the DPRs approved.
- iii. 100% of Central fund and corresponding State share against the SAAP-I and SAAP-II to be transferred to the State Mission Directorate/ ULBs/ Parastatals (as the case may be).
- iv. PDMC should have been appointed and be in place.
- v. Regarding credit rating, work must be awarded for all Mission Cities and credit rating targeted to be completed by March 2017.
- vi. Satisfaction of the progress and assurance regarding adoption of Model Building Byelaws, circulated by the Ministry w.r.t its 14 essential features by January, 2017.

In respect of the States & UTs not meeting the above conditions listed at no i to v, approval of the third and final SAAP will be granted upon achieving these milestones.

In respect of conditions at v and vi, progress will be reviewed as per the timelines given at the time of release of funds.

The Joint Secretary & Mission Director also advised that all states and ULBs in particular must move towards cashless payments and receipts and promote the same in their jurisdiction.

4. Additional Secretary (UD) mentioned that that these basic conditions explained by the Mission Director could have been stringent. He also emphasised that henceforth, a major area of focus would be Reforms and its impacts on governance and revenues.

With these opening remarks, he invited representative of PHD Chamber of Commerce for making a presentation on 'outdoor advertising for any city' before the States to present their progress and the third SAAP as per the agenda.

AGENDA ITEM No. 1

Representative from PHD Chamber of Commerce made a Presentation on How to get best out of outdoor advertising for any cities of India and not only for mission cities. In their Presentation need for advertising to be used as source of Own revenue by cities was emphasized so that cities can fund their requirements from own sources Further, it was clarified that this presentation was made to share the Best Practices in Outdoor advertising in India and globally so that cities appreciate the need of sound advertising Policy.

Following points were discussed in the Presentation:

- Outdoor advertising means advertising which is not covered by electronic and print media.
- Challenges faced by cities in implementing this policy like lack of expert resources, absence of proper research on location of advertisement, size of advertisement, Outdated regulation, illegal media etc were discussed. This is causing loss of revenue to the government and also to private players.
- Best Practices in Outdoor advertising in India and Globally
- Need for Transparent processes in advertising and Uniform policy on advertisement is call and need of the hour and this is highly overdue.

It was emphasized by Chairperson that to explore advertising is also Part of AMRUT guidelines requirement whereby cities are expected to Improve Municipal Taxes and Fees and also achieve full potential of advertisement revenue by making a policy for destination specific potential having dynamic pricing module.

AGENDA ITEM No.2

Telangana					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
408.51	554.77	19.19	5	961.34	99
Status of fund transfer against SAAP I & II					

SAAP I	Central Share released: 40.85		Central Share transferred: 40.85		
	State Share due: 40.85		State Share transferred: 16.34		
SAAP II	Central Share released: 55.44		Central Share transferred: NIL		
	State Share due: 55.44		State Share transferred: NIL		
Summary of SAAP III (Amounts in Rs. Crore)					
SAAP Size			Rs 702.98 Cr		
CA			Rs. 351.15 Cr		
States/ULB/ Convergence share			Rs 351.83 Cr		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	08	02	12	0	0
Amount (Rs.Cr)	559.98	126.00	17.00	0	0
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done		Mission Cities for which work awarded		Mission Cities for which work completed
12	12		12		4
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
12	12	11	12	12	
Comments of IFD					
<p>There shall be no over commitment of Central Share in all three SAAPs put together beyond the central share indicated by the Ministry for the entire Mission period i.e. Rs. 833.03 Cr for Telangana. State Govt has not released their full corresponding share against SAAP-I. State Govt has not transferred the Centre Share as well as their corresponding share against SAAP-II to State Mission Directorate. Thus conditions set by Apex Committee for SAAP approval have been partially fulfilled.</p> <p>Mission Director has asked the State Govt whether Nizamabad project of sewerage is ongoing project of JnNURM. State Govt has confirmed that the project is not a project under JnNURM. Thus, SAAP-III will be approved once all conditions are fulfilled. The release of funds against the SAAP will depend upon the budgetary allocation to the mission.</p>					
Decision of Apex Committee					
The Apex Committee considered the SAAP III proposal of the State and found it to be in					

order. However, it was noted that the State Government has not fulfilled all the conditions precedent to the approval of the SAAP III. It was therefore decided to withhold the approval of SAAP- III till the desired progress is achieved by the State.

Tamil Nadu					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
3249.23	3834.49	133.13	4	3783.69	53
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 274.48		Central Share transferred: 274.48		
	State Share due: 375.36		State Share transferred: 199.15		
SAAP II	Central Share released: 316.56		Central Share transferred: 316.56		
	State Share due: 450.34		State Share transferred: 0		
Summary of SAAP III (Amounts in Rs. Crore)					
			Proposed by State Govt	As approved by Apex Committee	
SAAP Size			4153.62	4153.62	
CA			1802.60	1801.37	
State/ULB/Convergence Share			2351.02	2352.25	
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	3	12	33	0	0
Amount (Rs. Cr)	733.70	3329.85	90.07	0	0
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed		
28	-	28	-		
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
28	28	-	28	-	
Comments of IFD					
There shall be no over commitment of Central Share in all three SAAPs put together beyond					

the central share indicated by the Ministry for the entire Mission period i.e. Rs. 7756.58 Cr for Tamil Nadu. State Govt has not convened the SHPSC meeting to recommend SAAP-III. The State share has been released partially and ULB share has not been released against SAAP-I. State Govt has not transferred the Centre Share as well as their corresponding share against SAAP-II to State Mission Directorate. Thus conditions set by Apex Committee for SAAP approval have been partially fulfilled.

Thus, SAAP 3rd will be approved once all conditions are fulfilled. The release of funds against the SAAP will depend upon the budgetary allocation to the mission

Decision of Apex Committee

The Apex Committee observed that SHPSC has not approved the SAAP-III. However, on request as special case Apex Committee only considered the SAAP III proposal and found it in order. It was noted that the State Government has not fulfilled all the conditions precedent to the approval of the SAAP III. Accordingly, SAAP III of the State will be considered for approval with or without conditions after approval of SHPSC and achievement of milestones at that point of time.

Uttar Pradesh					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
3287.27	3895.16	1396.25	39	4825.25	67
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 281.81		Central Share transferred: 281.81		
	State Share due: 375.64		State Share transferred: 221.39		
SAAP II	Central Share released: 327.6		Central Share transferred: 327.6		
	State Share due: 455.03		State Share transferred: 76.68		
Summary of SAAP III					
SAAP Size			4239.24		
CA			1875.39		
State/ULB Share/ Convergence			2363.85		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	46	47	61	-	-
Amount (Rs.Cr)	1996.02	2149.46	93.76	-	-
Summary of Major Reforms:					
Credit Rating					
No. of Mission	Mission Cities for which	Mission Cities for	Mission Cities for	Mission Cities for	

Cities	Credit Rating being done	which work awarded	which work completed
61	61	61	

Energy Efficiency

No. of Mission Cities	Water Pump		Street lights	
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission tied up with EESL/ others
61	61	61	61	-

Comments of IFD

There shall be no over commitment of central share in all 3 SAAPs together beyond the central share indicated by the Ministry for the entire mission period. The State will be required to drop the projects to bring down central share in the total Projects up to the central share allocated for the entire mission period. It has been reiterated that Rs 4922.46 Cr will be total commitment of GoI in case of Uttar Pradesh.

Apex Committee observations

AS (UD) raised the query on appointment of PDMC whether all thing were done by Jal Nigam which is also PDMC. State Govt confirmed that PDMC is consisted of officers of Jal Nigam and working under control of State Mission Directorate. State Government has not transferred their correspondence share to State Mission Directorate against SAAP-I & II. Apex committee directed State Govt to ensure 100 % coverage of Water Supply by end of the Mission Period.

Thus, Proposed SAAP-III will be approved once all conditions are fulfilled. The release of funds against the SAAP-III will depend upon the budgetary allocation to the mission

Decision of Apex Committee

The Apex Committee considered the SAAP III proposal of the State and found it to be in order. However, it was noted that the State Government has not fulfilled all the conditions precedent to the approval of the SAAP III. It was therefore decided to withhold approval of SAAP III till the desired progress is achieved by the State.

Manipur					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
51.43	60.0	0	0	60.43	54
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released:9.26		Central Share transferred: 9.26		

	State Share due: 1.03	State Share transferred: 1.03			
SAAP II	Central Share released: 10.80	Central Share transferred:			
	State Share due: 1.20	State Share transferred:			
Summary of SAAP III					
SAAP Size	68.88				
CA	61.99				
State/ULB Share/ Convergence	6.89				
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	1	0	1	-	-
Amount (Rs.Cr)	67.16	0	1.72	-	-
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed		
1	-	-	-		
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
01	01	-	01	-	
Comments of IFD					
<p>The state needs to restrict the SAAP III project and cost so that the total commitment of Central Government is limited to the overall allocated amount. Rs. 162.28 crore will be the total commitment of GoI. No project against SAAP-I has been awarded. State Govt has not transferred the Central Assistance as well as their corresponding share to State Mission Directorate against SAAP-II. The release of funds against the SAAP-III will depend upon the budgetary allocation to the mission</p>					
Decision of Apex Committee					
<p>The Apex Committee considered the SAAP III proposal of the State and found it to be in order. However, it was noted that the State Government has not fulfilled all the conditions precedent to the approval of the SAAP III. Apex Committee decided a team from MoUD may visit the State to assess the ground reality, progress and methodology of project implementation and give their inputs to improve the same. It was therefore decided to withhold approval of SAAP III till the desired progress is achieved by the State.</p>					

Chhattisgarh

Summary of Progress (Amounts in Rs. Crore)

Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
573.40	740.38	330.40	58	1312.65	100
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 55.29		Central Share transferred: 55.29		
	State Share due: 59.39		State Share transferred: 33.17		
SAAP II	Central Share released: 61.72		Central Share transferred: 61.72		
	State Share due: 86.36		State Share transferred: 54.35		
Summary of SAAP III					
			Proposed by State Govt	Approved by Apex Committee	
SAAP Size			878.99	878.99	
CA			424.70	424.69	
State/ULB Share/ Convergence			454.28	454.30	
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	10	2	27	0	0
Amount (Rs. Cr)	865.80	4	9.19	0	0
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed		
9	9	7	2		
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
9	9	9	9	4	
Comments of IFD					
<p>There shall be no over commitment of Central Share in all three SAAPs put together beyond the central share indicated by the Ministry for the entire Mission period i.e. Rs. 1009.74 Cr for Chhattisgarh. State Govt has not transferred their corresponding share to State Mission Directorate against SAAP- I & II. The release of funds against the SAAP-III will depend upon the budgetary allocation to the mission.</p>					
<u>Apex Committee observation:</u>					
AS (UD) raised the query on transfer of corresponding State share to State Mission					

Directorate/ULB. State Govt confirmed that they have transferred the State share and ULB share have already with them (ULB).

Decision of Apex Committee

The Apex Committee considered the SAAP III proposal of the State and found it to be in order. State Govt had fulfilled all the conditions precedent to its approval including appointment of PDMC. Accordingly, SAAP-III of the State was approved.

Maharashtra					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
1989.41	2489.91	865.76	44	3323.34	74
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 182.98		Central Share transferred: 182.98		
	State Share due: 214.90		State Share transferred: 91.49		
SAAP II	Central Share released: 235.20		Central Share transferred: 235.20		
	State Share due: 262.78		State Share transferred: 117.61		
Summary of SAAP III					
			Proposed by State Govt	Approved by Apex Committee	
SAAP Size			3280.00	3280.00	
CA			1443.29	1443.16	
State/ULB Share/ Convergence			1836.71	1836.84	
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	4	19	43	1	0
Amount (Rs.Cr)	579.00	2529.00	80.00	92.00	0
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed	Mission Cities for which Credit rating is awaited	
44	44	24	10	10	
Energy Efficiency					
No. of	Water Pump	Street lights			

Mission Cities	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others
44	44		44	-

Comments of IFD

The state needs to restrict the SAAP III project and cost so that the total commitment of Central Government is limited to the overall allocated amount. Rs. 3534.08 crore will be the total commitment of GoI. State Govt has not transferred their corresponding share to State Mission Directorate against SAAP- I & II. The release of funds against the SAAP-III will depend upon the budgetary allocation to the mission.

Apex Committee observations:

AS (UD) raised the query on transfer of corresponding State share to State Mission Directorate/ULB. State Govt confirmed that they have transferred the State share and ULB share have already with them (ULB).

Decision of Apex Committee

The Apex Committee considered the SAAP III proposal of the State and found it to be in order. State Govt had fulfilled all the conditions precedent to its approval including appointment of PDMC. Accordingly, SAAP-III of the State was approved.

Haryana					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
458.02	525.40	0	0	1113.85	113
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 43.80		Central Share transferred: 43.80		
	State Share due: 47.80		State Share transferred: 43.80		
SAAP II	Central Share released: 50.88		Central Share transferred: 50.88		
	State Share due: 54.20		State Share transferred: 50.88		
Summary of SAAP III					
			Proposed by State Govt	Approved by Apex Committee	

SAAP Size	1582.32	1582.32			
CA	291.25	291.10			
State/ULB	Share/ 1291.07	1291.22			
Convergence/other sources					
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	10	12	10	12	6
Amount (Rs. Cr)	188.16	949.63	71.85	310.74	61.94
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed		
18	Under process	0	0		
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
18	Under process	Under process	Under process	Under process	
Comments of IFD					
<p>The state needs to restrict the SAAP III project and cost so that the total commitment of Central Government is limited to the overall allocated amount. Rs. 764.51 crore will be the total commitment of GoI. No project has been awarded by State Govt against SAAP-I & II. State Govt has not transferred their corresponding share to State Mission Directorate against SAAP- I & II. State Government has proposed Rs. 71.85 Crore for park projects which is 4.5% of SAAP size and more than the restriction of 2.5% of SAAP size. Sanctioning of proposed SAAP III will depend upon fulfilment of all conditions. The release of funds against the SAAP-III will depend upon the budgetary allocation to the mission.</p>					
<u>Apex Committee observations</u>					
<p>Mission Director has asked the State Govt to clarify the source of the funding for additional funds of Rs. 964.97 Cr (excluding State Share of Rs. 326.25 Cr). State Govt of Haryana has confirmed that the additional funds will be arranged through their own sources or by way of innovative funding from Financial Institution like ADB, JICA, World Bank etc.</p>					
<p>It was pointed out that SAAP-III include park projects much more than the limit of 2.5 % mandated by the Guidelines. It was confirmed by the State Govt that the additional funding will be met from State sources. The funding to the parks projects from the Central Assistance may be limited to 2.5% as per the funding pattern.</p>					
<p>The Chairman advised the State representative to follow the Ministry of Environment, Forests & Climate Change guidelines dated 6.12.2016 in the online Building bye-laws to make it up to date.</p>					

Decision of Apex Committee

The Apex Committee considered the SAAP III proposal of the State and found it to be in order as confirmed by State Govt. It was noted that the State Government has not fulfilled all the conditions precedent to the approval of the SAAP III. It was therefore decided to withhold approval of the SAAP III till the desired progress is achieved by the State.

Sikkim					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
13.43	13.33	18.87	140	18.87	70
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released:2.42			Central Share transferred: NIL	
	State Share due: 0.27			State Share transferred: NIL	
SAAP II	Central Share released: 2.40			Central Share transferred: NIL	
	State Share due:0.27			State Share transferred: NIL	
Summary of SAAP III					
SAAP Size			13.30		
CA			11.97		
State			1.33		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	1	0	1	12	11
Amount (Rs.Cr)	3.00	0	0.33	4.20	5.77
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done		Mission Cities for which work awarded		Mission Cities for which work completed
1	-		-		-
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
1	1	-	1	-	

Comments of IFD

Total number of projects and cost of SAAP III need to restrict so that the total commitment of Central Government is limited to the overall allocated amount i.e. In case of Sikkim, Rs. 36.06 crore will be the total commitment of GoI. The release of funds against the SAAP-III will depend upon the budgetary allocation to the mission.

Apex Committee observations:

State Govt has not transferred the Central Share as well as corresponding State share against SAAP-I & II. State Government has not yet appointed PDMC. State Govt confirmed that PHED is working in the capacity of PDMC.

The Chairman of Apex Committee has directed the State to ensure 100% water supply coverage (presently proposed as 95%) by the end of Mission period through convergence or curtailment of other projects.

Decision of Apex Committee

The Apex Committee considered the SAAP III proposal of the State and found it to be in order. However, it was noted that the State Government has not fulfilled all the conditions precedent to the approval of the SAAP III. It was therefore decided to withhold approval of the proposal (SAAP III) till the desired progress is achieved by the State.

Delhi					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
223.07	265.73	0.76	0.3	0.76	0.1
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 44.61		Central Share transferred: 44.61		
SAAP II	Central Share released: 53.15		Central Share transferred: Nil		
Summary of SAAP III					
SAAP Size			313.51		
CA			313.51		
State/ULB Share/ Convergence			Nil		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility

No. of projects	4	3	2	0	0
Amount (Rs.Cr)	128.56	177.13	7.82	0	0
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed		
4	1	-	1		
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
4	-	-	4	3	
Comments of IFD					
Government of NCT of Delhi has not fulfilled all the conditions for sanctioning of the SAAP III. The release of funds against the SAAP-III will depend upon the budgetary allocation to the mission.					
Decision of Apex Committee					
The Apex Committee considered the SAAP III proposal of the UT Govt and found it to be in order. However, it was noted no substantial progress in any mile stone (i.e. conditions precedent to the approval of the SAAP III). It was directed to resubmit it along with a road map of achievement of all 5 Milestones. Once the UT Govt makes progress matter can be taken up on file. It was therefore decided to withhold approval of the proposal (SAAP III) till the desired progress is achieved by the UT Govt.					

Assam					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
188.16	218.67	0	0	0	0
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 33.87			Central Share transferred: Nil	
	State Share due: 3.76			State Share transferred: Nil	
SAAP II	Central Share released: 39.36			Central Share transferred: Nil	
	State Share due: 4.37			State Share transferred: Nil	

Summary of SAAP III					
SAAP Size	250.31				
CA	225.28				
State/ULB Share/ Convergence	25.03				
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	1	0	4	0	1
Amount (Rs.Cr)	161.35	0	6.22	0	82.74
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed		
4	0	1	0		
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
4	4	0	4	1	
Comments of IFD					
Government of Assam has not fulfilled all the conditions for sanctioning of the SAAP III. The release of funds against the SAAP-III will depend upon the budgetary allocation to the Mission.					
Decision of Apex Committee					
The Apex Committee considered the SAAP III proposal of the State and found it to be in order. However, it was noted no substantial progress in any mile stone (i.e. conditions precedent to the approval of the SAAP III). It was directed to resubmit it along with a road map of achievement of all 5 Milestones. Once the State makes progress matter can be taken up on file. It was therefore decided to withhold approval of the SAAP III till the desired progress is achieved by the State.					

SAAP-III of Arunachal Pradesh & Nagaland

No representative from State Govt of Arunachal Pradesh and Nagaland has attended the meeting. National Mission Director has proposed that the Secretary (UD) may be authorized to approve these SAAPs in consultation with IFD. Apex Committee approved

the proposal and with advice that the Apex Committee may be apprised of such approvals in subsequent meetings.

AGENDA ITEM No.3

3rd SAAP of Bihar for balance Mission period was considered by Apex Committee in their 14th Meeting. During consideration of SAAP-III of Bihar, Apex Committee found that the 3rd SAAPs of Bihar was in order but did not fulfil the some benchmarks which must complied by States. Therefore, the Committee decided that approval of the SAAP-III may be taken up after the desired progress is achieved by the State.

State Govt of Bihar has furnished their compliance Report against SAAP-III. The Compliance Report is as under:

Name of state	Contracts of value at least 25 % of SAAP I to be awarded.	DPRs of value at least 50% of SAAPs I & II combined to be approved	Full Central and corresponding State Shares against SAAPs I & II to be transferred to State Mission Directorate.	Credit rating work in respect of all mission cities to be completed / awarded	PDMC should have been appointed
Bihar	46.5%	75%	Yes	Yes	Yes*

* The DFID supported TA is working as PDMC till 31.03.2017. The RFP is being prepared for appointment of PDMC to work after 31.03.2017.

As State Government has fulfilled all the conditions precedent to the approval of the SAAP III, Apex Committee approved the SAAP-III of Bihar for balance Mission period.

National Mission Director also apprised the Committee that SAAP-III of many States/UTs were found in order, however, the States/UTs have not fulfilled all the conditions precedent to the approval of the SAAP III. Therefore, Apex Committee decided to withhold the approval of SAAP-III of these States/UTs till they have achieved the desired progress. It was proposed that Apex Committee may authorize Secretary(UD) to approve the SAAP-III of these States/UTs which SAAP-III were found in order and withheld the approval by Apex Committee till achieve the desired progress as mentioned in Minutes of Apex committee meetings.

Apex Committee approved the proposal.

AGENDA ITEM No.4

Authority to approve the SAAPs of Certain State and UTs

National Mission Director apprised the Committee that SAAP-III of Kerala and Lakshadweep, A&N Islands, Daman & Diu and Dadra & Nagar Haveli are left for approval. Projects of UTs will be funded 100% by Central Govt and funding pattern for State is 50:50 (central: State).

It was proposed that Secretary (UD) may be authorized to approve these SAAPs in consultation with IFD as and when they are submitted by State/UTs Govts.

Apex Committee approved the proposal and with advice that the Apex Committee may be apprised of such approvals in subsequent meetings.

Common Conditions for all SAAPs:

- i. The State/UT Govts. shall, in respect of each project, explore the possibility of funding through PPP mode, annuity based funding as well as utilising the funds available to the ULBs through State Finance Commission and 14th Finance Commission. An analysis of such options in respect of each project shall be presented by the Mission Directorate before the SHPSC at the time of seeking approval for projects.
- ii. In case the funding in a project through these sources has not been tied up, the SHPSC should satisfy itself about the reasons for the same.
- iii. The State/UT Govts shall ensure that the works are executed through the ULBs. In case the works are to be executed through para-statal agencies, the process and conditions specified in the Mission Guidelines in this regard shall be strictly followed.
- iv. State Govts. need to clearly indicate about the availability of Land and other clearances. No projects should be approved by State Level Technical Committee (SLTC) which do not have land available and no work order should be issued till receipt of all clearances from all concerned departments/authorities.
- v. Re-cycling/re-use of waste water and reduction of NRW should be given focus while approving DPRs.
- vi. The State Govts. should ensure convergence between the AMRUT, Smart Cities, SBM, other related Schemes and Externally aided projects according to Mission Guidelines.
- vii. Estimates in the SAAP should be based on prevailing official schedule of rates and not on market rates.
- viii. Implementation of reforms will make States/UTs eligible for annual incentive. In order to get incentives reforms should be broken up into activities with timelines and sent to TCPO by the State Mission Director.
- ix. The approvals towards Urban Mobility shall not be used for procurement of buses.
- x. No incomplete JnNURM projects should be taken up in AMRUT and the SLTC will ensure adherence to the Guidelines.

- xi. In case, the appraised cost of projects in previous SAAP exceeds the approved SAAP size for the year, the SAAP may be limited to approved SAAP size by removing some projects. Such projects may either be taken up in the next of SAAP or taken up by the State Govt. through convergence with other schemes or borne by the State/UT Government.
- xii. States/UTs must ensure that there is no duplicity of projects/work and projects/item of works already approved under previous SAAP is not form the part of SAAP again.

AGENDA ITEM No.5

Release of Central Assistance for Bus Funding projects sanctioned during transition phase of JnNURM.

With the permission of the Chair following agenda items were also discussed in the Apex Committee meeting held on 15.03.2017:

1. **Telangana:**

1.1 Since the proposal has already been concurred by IFD, Apex committee has approved the proposal for release of 2nd instalment of ACA amounting to Rs 3.70 crore as per details given below:

(Rs.in cr.)

S. No.	Cities	No. of buses	Buses procured	Project Cost*	ACA (60%)	1 st instt. released	2 nd installment to be released
1	Mahboobnagar	30	30	9.94	5.964	3.98	1.39
2.	Khammam	30	30	9.94	5.964	3.98	1.39
3.	Karimnagar	57	57	15.11	11.33	9.28	0.92
	Total	117	117	43.08	25.848	17.24	3.70

*excluding excise taxes and including ITS component on the buses.

2. **Sikkim:**

2.1. Govt. of Sikkim has submitted proposal for release of 3rd instalment of ACA amounting to Rs 1,19,97,514. Since Government of Sikkim has completed 100% reforms and all 41 buses are operational, Apex Committee has approved the proposal subject to concurrence of IFD as per following details:

(Rs. in cr.)

S. No.	City	No. of buses	Project Cost*	ACA (90%)	ACA already released (1 st & 2 nd)	3 rd installment to be released
1.	Gangtok	41	9,84,19,460.00	8,85,77,514.00	7,65,80,000.00	1,19,97,514.00

*excluding excise taxes and including ITS component on the buses.

3. Himachal Pradesh:

3.1. The Apex Committee was informed about fulfilling of all the conditions for release of 3rd instalment of ACA amounting to Rs 19,76,92,570. The Committee was also informed that the State Government has changed distribution of buses amongst the clusters on their own. To this State Government representative has stated that this was done due to operational difficulties but the total buses remain within the sanction and the MoUD was informed about it.

After discussion the Apex Committee has accepted the plea of the State Government and also approved the proposal for release of 3rd instalment of ACA as per details given below as the State Government has completed 100% reform and all the sanctioned buses are operational:

(Amount in Rs.)

S. No	Details	Total (all 13 cluster of cities)
a)	Number of buses	791
b)	Basic Price including excise duty for all buses	2,03,83,25,078.26
c)	VAT/sales Tax/ other levies	28,02,69,698.65
d)	Total eligible Central Assistance (90%)	1,83,44,92,570.43
e)	ACA already released	1,63,68,00,000.00
f)	Balance ACA (d-e)	19,76,92,570.43
g)	Balance ACA to be released	19,76,92,570.43

4. Karnataka:

4.1 The Committee was informed that, the State Government of Karnataka had fulfilled all the pre-requisite conditions for release of the 2nd instalment of ACA in respect of (i) 487 buses and 13 ancillary infrastructure projects sanctioned to 11 cities of KSRTC; and (ii) 160 buses sanctioned to 7 cities of NWKRTC. It was also informed that while an amount of Rs. 239.07 cr. was released for procurement of 1701 buses and 23 ancillary infrastructure projects, the State Govt. has so far utilized 153.15 cr. only and Rs. 8.81 cr. has already been adjusted as 2nd installment for 229 buses and 3 ancillary infrastructure project of NEKRTC. Therefore, an amount of Rs.77.11 cr. is lying unspent with the State Government.

4.2 Keeping in view of the unspent balance of Rs. 77.11 cr. lying with State Govt. of Karnataka, Apex Committee approved (i) the adjustment of Rs.26.19/- cr. (Rs. 15.73 cr. for buses and Rs.10.46 for ancillary infrastructure) as 2nd installment of ACA for 487 buses sanctioned to 11 cities of KSRTC and 13 ancillary infrastructure projects and (ii) adjustment of **Rs.5.00 cr. as 2nd installment of ACA for 160 buses sanctioned to 7 cities of NWKRTC** from the available unspent balance of Rs.77.11 cr. as per following details:

A. KSRTC cities:

(Rs. in crore)

S. NO	City	No. of buses	No of buses procured	Actual Project cost	ACA	90% of ACA	1 st installment released	ACA to be adjusted as 2 nd installment
Buses								
1	Mysore	93	93	30.36	18.216	16.3944	12.65	3.74
2	Devanagere	50	20	15.2	9.12	8.208	6.81	1.40
3	Kolar & KGF	40	33	12.34	7.404	6.6636	5.45	1.21
4	Tumkur	40	40	13.06	7.836	7.0524	5.45	1.60
5	Mandaya	30	25	8.85	5.31	4.779	2.92	1.86
6	Ramnagara	20	20	5.9	3.54	3.186	1.95	1.24
7	Chitradurga	24	10	6.45	3.87	3.483	3.27	0.21
8	Simoga	55	20	14.65	8.79	7.911	7.49	0.42
9	Bhadravati	35	10	9.65	5.79	5.211	4.76	0.45
10	Mangalore & Udupi	65	48	19.58	11.748	10.5732	8.64	1.93
11	Hassan	35	35	11.9	7.14	6.426	4.76	1.67
	Total	487	354	147.94	88.764	79.8876	64.15	15.73
Ancillary infrastructure projects								
	Construction of Depot at							
1	Mandya			6	3.6	3.24	2.4	0.84
2	Ramnagara			5.9	3.54	3.186	2.36	0.83
3	Devanagere			6	3.6	3.24	2.4	0.84
4	Shimoga			4.32	2.592	2.3328	1.72	0.61
5	Bhadravati			5.97	3.582	3.2238	2.38	0.84
6	Chitradurga			5.97	3.582	3.2238	2.38	0.84
7	Hassan			5.44	3.264	2.9376	2.17	0.77
8	Kolar			5.54	3.324	2.9916	2.21	0.78
9	KGF			6	3.6	3.24	2.4	0.84
10	Tumkur			5.44	3.264	2.9376	2.17	0.76
11	Mysore			6	3.6	3.24	2.4	0.84
12	Mangalore			5.95	3.57	3.213	2.38	0.83
13	Udupi			6	3.6	3.24	2.4	0.84
	Total			74.53	44.718	40.2462	29.77	10.46

B. NWKRTC cities

(Rs. in crore)

S. NO.	City	No. of buses	No of buses procured	Actual Project cost	ACA (60%)	90% of ACA	1 st installment released	ACA to be adjusted as 2 nd installment
1	Belgaum	50	50	11.17	6.702	6.0318	4.47	1.5618
2	Sirsi	20	20	4.47	2.682	2.4138	1.79	0.6238
3	Begalkot	20	20	4.47	2.682	2.4138	1.79	0.6238
4	Chikodi	20	20	4.47	2.682	2.4138	1.79	0.6238
5	Karwar	20	20	4.47	2.682	2.4138	1.79	0.6238
6	Harvery	10	10	2.23	1.338	1.2042	0.89	0.3142
7	Ranebechur	20	20	4.47	2.682	2.4138	1.79	0.6238
	Total	160	160	35.75	21.45	19.305	14.31	4.995 or 5.00

5. Maharashtra:

5.1 **Kolhapur:** The committee was informed that the city had completed the reforms upto 100% and all the buses are operational. Hence Apex committee may consider to release the 3rd instalment of ACA Rs. 98,99,435.00.

5.1.1 Keeping in view that the reforms has been completed by 100% and all 75 buses are operational, Apex Committee approved the release of 3rd and final instalment of ACA amounting to Rs. 98,99,435.00 to the State Govt. of Maharashtra for the city of Kolhapur subject to concurrence of IFD as per following details:

S. No.	No. of buses	No. of buses operational	Project Cost for ACA*	ACA (60%)	ACA released (90%)	ACA to be released as 3 rd inst. (10%)
Kolhapur	75	75	16,31,65,725.00	9,78,99,435.00	8.80 crore	98,99,435.00

* Excluding State Taxes and including ITS component on buses.

5.2.1 **Thane:** The Committee was informed that, funding is continued under AMRUT for 220 buses sanctioned to Thane (Maharashtra) during transition phase of JnNURM. Further, on the request of Thane Municipal Corporation, the typology of buses were changed due to which the project cost was reduced by Rs. 8.66 cr. Now, Thane Municipal Transport Undertaking (TMTU) has made a request to sanction additional 34 buses due to saving in initial project cost.

5.2.2 The Apex Committee was of the view that since the funding for these projects are available till 31.03.2017 only, no new project can be sanctioned. The proposal of sanction of 34 new buses out of the savings was not approved by the Committee.

6.1 **Release of 3rd instalment of ACA for 632 buses sanctioned to Kolkata (West Bengal):** The reforms were completed upto 91.20%, whereas completion of 100% reforms are the precondition of according approval for release of 3rd instalment. Thus, Apex Committee has not approved the proposal.

6.2 **Release of 3rd and final instalment of ACA for 80 buses sanctioned to Hyderabad (Telangana):** The reforms were completed upto 56.8% only, whereas completion of 100% reforms are the precondition of according approval for release of 3rd instalment. Thus, Apex Committee has not approved the proposal.

6.3 **Release of 3rd and final instalment of ACA for 400 buses sanctioned to 5 cluster of cities in Kerala:** The reforms were completed upto 38.26% only, whereas 100% reforms are the precondition of according approval for release of 3rd instalment. Thus, Apex Committee has not approved the proposal.

List of Participant in the meeting of the 17th Apex Committee under AMRUT held on 15.03.2017

1. Shri Durga Shankar Mishra, Additional Secretary(UD)- **Chairman**
2. Shri Neeraj Mandloi, Joint Secretary (UD&A), MoUD- **National Mission Director**
3. Smt Jhanja Tripathy, JS&FA, MoUD
4. Shri Anand M Sharan, Principal Secretary Urban Local Bodies, Haryana.
5. Shri Shree Prakash, Secretary, Urban Development Department, Uttar Pradesh.
6. Shri Sandeep Mishra, Special Secretary (UDD), Delhi.
7. Shri Panduraaj J. Jadhav, Joint Secretary, UDD, Government of Maharashtra.
8. Shri R. Murugan DGM, TUFIDCO, Tamil Nadu.
9. Shri P. Durgaiah, Project Manager, TUFIDC Hyderabad Telangana
10. Shri Davidar, CMD TUFIDCO Mission Director, Tamil Nadu.
11. Shri G.Prakash, Commissioner of Municipal Administration Tamil Nadu.
12. Smt Neera Gogoi Sonowal, Addl. Secretary UDD & MD AMRUT, Assam.
13. Shri Shiv Pal Singh, Director (AMRUT) MoUD.
14. Shri Saurabh Jain, Director (SBM), MoUD
15. Smt Yashodhara. Vijayan, Deputy Secretary (IFD), MoUD.
16. Shri. G. Ravinder, Dy. Secretary (NURM), MoUD.
17. Shri V.S. Pandey, DS(MRTS), MoUD.
18. Shri Rakesh Kumar Mishra, Director, Local Bodies Uttar Pradesh.
19. Shri A.K.Gupta, Additional Director RCUES Lucknow Uttar Pradesh.
20. Shri N. Gitkumar Singh, Chief Town Planner, Government of Manipur
21. Smt R.C. Indrani, MJD, Maharashtra.
22. Shri Pramod Bhandula, VC Chairmen Indian Outdoor Advertising Association.
23. Shri Arghaya Chakrawarty, Indian Outdoor Advertising Association
24. Shri Indrajit Sen, Indian Outdoor Advertising Association.
25. Shri Sandeep Thakur, SRO, NIUA.
26. Shri Raman Kumar Singh, RO, NIUA.
27. Dr. Vinod K.Singh, Scientist 'D' MoEF & CC, Govt. of India.
28. Shri Pankaj Kumar, Under Secretary (IFD), MoUD
29. Smt Promila Bhalla, Associate TCP.
30. Dr. Ranjeet Mehto, Director, PHD Chamber Delhi.
31. Shri Nagen Kalita, SE, T & CP Urban Division Department, Assam.
32. Shri H. Sunil Singh, CE/PHEO, Manipur.
33. Shri Diki W. Bhutia, Assistant Town Planner, Govt. of Sikkim.
34. Shri Sanjiv Rai, Superintending Engineer (N/E) U.D & HD Sikkim.
35. Shri Subhash Bhujbal, Superintending Engineer (H.Q) M.J.P Mumbai, Maharashtra.
36. Shri Sukhendra Kumar, Assistant Director, ULB. Uttar Pradesh.
37. Dr. M. Viatheeshwaran, Ph.D, EE Specialist, Government of Tamil Nadu.
38. Shri U.K Tayal, Specialist (Water Supply) PDMC, AMRUT, Uttar Pradesh.
39. Shri Y.K Jain, Chief Engineer, Uttar Pradesh Jal Nigam Ghaziabad.

40. Shri K.K Agarwal, Team leader SMMU, Uttar Pradesh.
41. Shri Ravindra Bora, SE, Uttar Pradesh Jal Nigam Lucknow, U.P.
42. Shri R.Rangarajan, Project Officer, Department of UD, Government of NCT of Delhi.
43. Shri Nirmal K Singh, EE/PHED, Manipur.
44. Shri TH. Harikumar singh, Municipal Commissioner, Imphal Municipal Corporation.
45. Shri Abhnav Agrawal Dy. Project Manager SUDA, Chhattisgarh,
46. Shri Gagan Wasan, Dy. Project Manager SUDA, Chhattisgarh.
