

F.No.K-16015/04/2017/AMRUT-II  
Government of India  
Ministry of Urban Development  
AMRUT Division

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Nirman Bhawan, New Delhi  
Dated: 27<sup>th</sup> February, 2017

**OFFICE MEMORANDUM**

**Subject: Minutes of 16<sup>th</sup> Meeting of Apex Committee under the Atal Mission for Rejuvenation and Urban Transformation (AMRUT) regarding.**

The undersigned is directed to forward herewith the minutes of 16<sup>th</sup> meeting of Apex Committee held under the Atal Mission for Rejuvenation and Urban Transformation (AMRUT) on 02.02.2017 for information and necessary action.

Encls. As above.

  
(Sunil Kumar Pal)

Under Secretary to the Govt. of India

To,

1. Secretary (Department of Expenditure).
2. Secretary (Department of Economic Affairs).
3. Principal Advisor (HUD), NITI Ayog
4. Secretary (Drinking Water & Sanitation).
5. Secretary (Housing & Urban Poverty Alleviation).
6. Secretary (Environment, Forest & Climate Change).
7. Additional Secretary (UD), MoUD.
8. Additional Secretary (SC), MoUD.
9. Joint Secretary (PF-II), Department of Expenditure.
10. Joint Secretary & FA, MoUD.
11. Joint Secretary (SBM), MoUD.
12. OSD (UT), MoUD.
13. Adviser (CPHEEO).
14. Chief Planner, TCPO, Vikas Bhawan, IP Estate, New Delhi.
15. Director, NIUA, India Habitat Centre, New Delhi.
16. Secretary, Urban Development Department, Government of Karnataka, Bangalore.
17. Principal Secretary (UD), Municipal Administration and Urban Development Department, Room No. 105, L-Block, Ground Floor, Andhra Pradesh Secretariat, Hyderabad - 500 022.

Contd/.

18. Principal Secretary (UD), Urban Development Department, Himachal Pradesh, Shimla.
19. Director, Urban Development Department, Government of Uttarakhand, Dehradun.
20. Secretary (UD), Planning & Urban Development, Civil Secretariat, Government of Nagaland, Kohima-797001
21. Commissioner-cum-Secretary, Department of Urban Development, Puducherry.
22. Principal Secretary, Urban Development & Housing Department, Government of Jharkhand, Dhurwa, Ranchi-834004, Jharkhand.
23. Secretary, Urban Development Department, Assam.
24. Secretary (UD), Department of Urban Development & Housing, Govt. of Arunachal Pradesh, Civil Secretariat, Itanagar.

Copy to:

- i. PSO to Secretary (UD)
- ii. PS to JS(UD &A),
- iii. PS to Media Advisor in Office of UDM.
- iv. PS to Director (AMRUT).
- v. Under Secretary (Admn-III), MoUD.
- vi. Sr. Technical Director (NIC), MoUD, Nirman Bhawan (with request to upload the minutes on the AMRUT website).

## Minutes of the 16<sup>th</sup> Meeting of Apex Committee of Atal Mission for Rejuvenation and Urban Transformation (AMRUT) held on 02.02.2017

The List of Participants is annexed.

The Additional Secretary (UD) welcomed members of Apex Committee and the officers from the State governments.

He outlined the Agenda for the meeting, namely consideration of the third round of the third and final SAAPs of 09 States namely Andhra Pradesh, Himachal Pradesh, Uttarakhand Nagaland, Karnataka, Jharkhand, Assam, Puducherry and Arunachal Pradesh. In the case of Uttarakhand which is going to polls, he mentioned that Election Commission has been consulted. The SAAP can be approved but we cannot publicize it or go to Press with it.

2. The Committee reviewed the status of implementation of projects and reforms under the previous SAAPs approved under the Mission so far. The detailed status of implementation of projects under AMRUT is as below:

AMRUT Mission Progress: All India (Amt. in Rs. crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
20652	25182	7890	38	28700	63

3. The Additional Secretary (Urban Development) recapitulated the benchmarks set by the Committee at its 14<sup>th</sup> Meeting held on 18.11.2016, which must have been achieved by the States and UTs at the time of consideration of their 3<sup>rd</sup> SAAP:

- i. Projects amounting to at least 25% against SAAP I to be contracted.
- ii. Projects amounting to at least 50% of total approvals (SAAP I & II) to have the DPRs approved.
- iii. 100% of Central fund and corresponding State share against the SAAP-I and SAAP-II to be transferred to the State Mission Directorate/ ULBs/ Parastatals (as the case may be).
- iv. PDMC should have been appointed and be in place.
- v. Regarding credit rating, work must be awarded for all Mission Cities and credit rating targeted to be completed by March 2017.
- vi. Satisfaction of the progress and assurance regarding adoption of Model Building Byelaws, circulated by the Ministry w.r.t its 14 essential features by January, 2017.

In respect of the States & UTs not meeting the above conditions listed at no i to v, approval of the third and final SAAP will be granted upon achieving these milestones.

In respect of conditions at v and vi, progress will be reviewed as per the timelines given at the time of release of funds.

4. Additional Secretary (UD) spoke at length about the need for reviewing the building bye-laws to bring them in line with the Model Building Bye-Laws of March, 2016 of the Ministry

of Urban Development. These by-laws bring in new practices like focus on renewable energy, ground water recharging, energy efficiency and ease of doing business. He advised the States/UTs to adopt the model bye-laws making changes suited to their requirements but retaining core elements such as on-line permission, ease of doing business, approvals within 30 days or even less depending upon the risk analysis. The States could draw their own norms for occupancy/completion certificates. He requested the States to move on this on priority.

AS(UD) also emphasised the need for priority to water supply under AMRUT. AMRUT envisages universal coverage of water supply in the Mission Cities. This component is the first priority. It is also an essential requirement for making the toilets coming up under SBM functional. He therefore emphasised the need to ensure universal coverage of water supply in Mission cities before taking up other components. The other requirement is that of septage treatment plants an admissible component under AMRUT. The toxic septage from the septic tanks should not be dumped in open areas, drains, rivers etc which is a health hazard. There is need to have STPs in cities to ensure that septage removed from tanks is treated and put to use as compost. The States should ensure that while framing SAAPs this requirement is kept in view.

The Joint Secretary & Mission Director (SBM) also advised the States to ensure availability of water and septage treatment facilities. A large number of cities have already become ODF and the remaining are expected to follow suit during the remaining period of the Mission. The septage dumped in open impacts health of people. It is essential that STPs are provided in the Mission cities.

AS(UD) advised that the States could modify their SAAPs to provide for STPs. Director(AMRUT) stated that for such modification the States need not approach MoUD. As per guidelines they are competent to carry out these small modifications to their SAAP at their end.

Additional Secretary (UD) also suggested that dual piping system may be introduced for reuse of treated effluent from STP.

Director(AMRUT) also explained the status of IRMA. He stated that the IRMA would be in position within a month.

With these opening remarks, he invited the States to present their progress and the third SAAP as per the agenda.

### Agenda Item No. 1

Karnataka						
Summary of Progress (Amounts in Rs. Crore)						
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP	
1258.54	1624.72	1705.98	136	2674.89	93	
Status of fund transfer against SAAP I & II						
SAAP I	Central Share released: 118.46		Central Share transferred: 118.46			
	State Share due: 133.25		State Share transferred: 50.34			
SAAP II	Central Share released: 154.32		Central Share transferred: 154.32			
	State Share due: 170.62		State Share transferred: 64.99			
Summary of SAAP III (Amounts in Rs. Crore)						

SAAP Size	Rs.2069.61				
CA	Rs. 954.90				
States Share	Rs. 1114.71				
<b>Project details:</b>					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	23	21	27	11	7
Amount (Rs.Cr)	821.45	1061.47	46.95	118.47	21.27
<b>Summary of Major Reforms:</b>					
<b>Credit Rating</b>					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed		
27	27	27	0		
<b>Energy Efficiency</b>					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
27	-	-	27	-	
<b>Comments of IFD</b>					
<p>The total tendered cost of projects against the 1<sup>st</sup> and 2<sup>nd</sup> SAAP shall not be beyond the sanctioned cost and, any adjustment, if required, will be made in the 3<sup>rd</sup> SAAP. There shall be no over-commitment of Central share in all 3 SAAPs put together beyond the Central share indicated by the Ministry for the entire Mission period. The state will be required to drop projects to bring down Central share in the total projects up to the Central share allocated for the entire Mission period i.e. Rs. 2318.79 Cr.</p> <p>The release of funds against the SAAP will depend upon the budgetary allocation to the Mission.</p>					
<b>Decision of Apex Committee</b>					
<p>The State Govt, on being asked, stated that the State Govt has fully transferred the committed State Share and the balance fund is to be arranged by respective ULBs.</p> <p>The Apex Committee noted that the SAAP III proposal of the State was in order and that the State Government has fulfilled all the conditions precedent to its approval including appointment of PDMC. Accordingly, SAAP III of the State was approved.</p>					

## Agenda Item No. 2

<b>Andhra Pradesh</b>					
<b>Summary of Progress (Amounts in Rs. Crore)</b>					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
662.86	877.05	205	31	919	60
Status of fund transfer against SAAP I & II					

SAAP I	Central Share released: 60.08		Central Share transferred: 60.08		
	State Share due: 72.49		State Share transferred: 26.52		
SAAP II	Central Share released: 70.32		Central Share transferred: 70.32		
	State Share due: 105.09		State Share transferred: 26.29		
<b>Summary of SAAP III (Amounts in Rs. Crore)</b>					
SAAP Size		Rs. 1350.26			
CA		Rs. 404.61			
States Share		Rs. 945.65			
<b>Project details:</b>					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	21	17	32	07	0
Amount (Rs.Cr)	863.14	261.64	31.90	194.58	0
<b>Summary of Major Reforms:</b>					
<b>Credit Rating</b>					
No. of Mission Cities	Mission Cities for which Credit Rating being done		Mission Cities for which work awarded		Mission Cities for which work completed
32	32		32		15
<b>Energy Efficiency</b>					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
32	21	21	32	32	
<b>Comments of IFD</b>					
<p>There shall be no over-commitment of Central share in all 3 SAAPs put together beyond the Central share indicated by the Ministry for the entire Mission period. The state will be required to drop projects to bring down Central share in the total projects up to the Central share allocated for the entire Mission period i.e. Rs. 1056.62 Cr.</p>					
<b>Decision of Apex Committee</b>					
<p>The State Govt, on being asked, stated that the State Govt has fully transferred the committed State Share and the balance fund is to be arranged by respective ULBs.</p> <p>The Apex Committee, noted that the SAAP III proposal of the State was in order, and that the State Government had fulfilled all the conditions precedent to the approval of the SAAP III including appointment of PDMC. Accordingly, the SAAP III of the State was approved with the condition that the State Govt submit a confirmation to achieve the universal coverage of water supply by Mission period either through Mission funds or from other sources.</p>					

### Agenda Item No. 3

Himachal Pradesh

<b>Summary of Progress (Amounts in Rs. Crore)</b>						
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP	
88.23	101.33	26	29	107	56	
<b>Status of fund transfer against SAAP I &amp; II</b>						
SAAP I	Central Share released: 15.88		Central Share transferred: 15.88			
	State Share due: 6.35		State Share transferred: 6.35			
SAAP II	Central Share released: 18.25		Central Share transferred: 18.25			
	State Share due: 2.02		State Share transferred: 2.02			
<b>Summary of SAAP III (Amounts in Rs. Crore)</b>						
SAAP Size			Rs. 114.96			
CA			Rs. 103.46			
States Share			Rs. 11.50			
<b>Project details:</b>						
Sector	Water	Sewerage	Parks	Drainage	Urban mobility	
No. of projects	11	6	3	4	10	
Amount (Rs.Cr)	31.23	27.07	3.00	13.73	39.93	
<b>Summary of Major Reforms:</b>						
<b>Credit Rating</b>						
No. of Mission Cities	Mission Cities for which Credit Rating being done		Mission Cities for which work awarded		Mission Cities for which work completed	
2	2		2		0	
<b>Energy Efficiency</b>						
No. of Mission Cities	Water Pump		Street lights			
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others		
2	0	0	2	2		
<b>Comments of IFD</b>						
<p>The total tendered cost of projects against the 1<sup>st</sup> and 2<sup>nd</sup> SAAP shall not be beyond the sanctioned cost and, any adjustment, if required, will be made in the 3<sup>rd</sup> SAAP. There shall be no over-commitment of Central share in all 3 SAAPs put together beyond the Central share indicated by the Ministry for the entire Mission period. The state will be required to drop projects to bring down Central share in the total projects up to the Central share allocated for the entire Mission period i.e. Rs. 274.07 Cr.</p>						
<b>Decision of Apex Committee</b>						
<p>The Apex Committee considered the SAAP III proposal of the State and noted that the State Government had fulfilled all the conditions precedent to the approval of the SAAP III including appointment of PDMC. Additional Secretary (UD) observed that the allocation against the park should limit to 2.5 %. Accordingly, the SAAP III of the State was approved subject to fulfilment of requisite conditions and submission of revised SAAP.</p>						

#### Agenda Item No. 4

Uttrakhand						
Summary of Progress (Amounts in Rs. Crore)						
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP	
148.53	197.33	49.19	33	231.66	67	
Status of fund transfer against SAAP I & II						
SAAP I	Central Share released: 26.74		Central Share transferred: 26.74			
	State Share due: 2.97		State Share transferred: 2.97			
SAAP II	Central Share released: 35.52		Central Share transferred: 35.52			
	State Share due: 3.95		State Share transferred: 3.95			
Summary of SAAP III (Amounts in Rs. Crore)						
SAAP Size			Rs. 247.16			
CA			Rs. 222.44			
States Share			Rs. 24.72			
Project details:						
Sector	Water	Sewerage	Parks	Drainage	Urban mobility	
No. of projects	11	10	21	5	0	
Amount (Rs.Cr)	126.51	88.98	6.17	25.50	0	
Summary of Major Reforms:						
Credit Rating						
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed			
7	0	0	0			
Energy Efficiency						
No. of Mission Cities	Water Pump		Street lights			
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others		
7	0	0	0	0		
Comments of IFD						
<p>The total tendered cost of projects against the 1<sup>st</sup> and 2<sup>nd</sup> SAAP shall not be beyond the sanctioned cost and, any adjustment, if required, will be made in the 3<sup>rd</sup> SAAP. There shall be no over-commitment of Central share in all 3 SAAPs put together beyond the Central share indicated by the Ministry for the entire Mission period. The state will be required to drop projects to bring down Central share in the total projects up to the Central share allocated for the entire Mission period i.e. Rs. 533.72 Cr.</p>						
Decision of Apex Committee						
<p>The Apex Committee considered the SAAP III proposal of the State and it was noted that the State Govt has not appointed PDMC. On this, the State Govt submitted that they do not required PDMC as the State agencies have the competences to undertake the PDMC tasks. The committee instructed the State Govt to submit the details of competence of State agencies to undertake the PDMC task. The State Govt has not completed or awarded the</p>						



contract of Credit Rating.

State Government had not fulfilled all the conditions precedent to the approval of the SAAP III, Apex Committee decided to withhold the approval of the SAAP till after the State reports desired progress.

### Agenda Item No. 5

Nagaland					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
34.98	40.00	0	0	33.48	45
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 6.29		Central Share transferred: Nil		
	State Share due: 0.69		State Share transferred: Nil		
SAAP II	Central Share released: 7.20		Central Share transferred: Nil		
	State Share due: 0.80		State Share transferred: Nil		
Summary of SAAP III (Amounts in Rs. Crore)					
SAAP Size			Rs. 45.24		
CA			Rs. 40.72		
States Share			Rs. 4.52		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	0	1	6	6	3
Amount (Rs.Cr)	0	5.50	3.00	29.24	7.50
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done		Mission Cities for which work awarded		Mission Cities for which work completed
2	0		0		0
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
2	0	0	0	0	
Comments of IFD					
It has been observed that so far 0% progress of SAAP 2015-16 has been awarded and only 45 % of total DPR has been approved. It is therefore, suggested that in case of Nagaland, SAAP III may not be approved.					
Decision of Apex Committee					

The Apex Committee considered the SAAP III proposal of the State is not in order. The funds proposed to Parks are beyond the eligible limit i.e 2.5 % of SAAP Size. It was also noted that the State Government has not fulfilled all the conditions precedent to the approval of the SAAP III. It was submitted by the State that PDMC could not be appointed due to lesser amount of A&OE. Representative of the NITI Aayog suggested providing handholding support to the State in their effort. AS(UD) stated that the point is well taken. The Mission Directorate have been assisting the State. They may extend assistance to the State Government whenever required.

Accordingly, Apex Committee has not approved the SAAP and directed to expedite the progress against the previous SAAPs and other milestones and resubmit the SAAP.

### Agenda Item No. 6

Puducherry					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
18.97	21.60	0	0	40.57	100
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 3.79		Central Share transferred: 3.79		
	State Share due: NA		State Share transferred: NA		
SAAP II	Central Share released: 4.32		Central Share transferred: 0		
	State Share due: NA		State Share transferred: NA		
Summary of SAAP III (Amounts in Rs. Crore)					
SAAP Size			Rs. 24.34		
CA			Rs. 24.34		
States Share			Rs. 0		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	2	3	3	0	0
Amount (Rs.Cr)	22.50	1.23	0.61	0	0
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done		Mission Cities for which work awarded		Mission Cities for which work completed
3	0		0		0
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
3	3	0	3	0	
Comments of IFD					
There shall be no over-commitment of Central share in all 3 SAAPs put together beyond the					

Central share indicated by the Ministry for the entire Mission period i.e Rs. 64.91 Cr. State Govt has not fulfilled any condition laid down by Apex Committee.

### Decision of Apex Committee

The Apex Committee, considered the SAAP III proposal of the State to be in order. However, the State Government have not fulfilled all the conditions precedent to the approval of the SAAP III. Accordingly, it was decided to withhold approval of the SAAP till after the State reports desired progress.

### Agenda Item No. 7

Jharkhand					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
313.36	376.80	7	2.23	205.76	29.81
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 27.59		Central Share transferred: 27.59		
	State Share due: 35.08		State Share transferred: 37.40		
SAAP II	Central Share released: 33.16		Central Share transferred: 33.16		
	State Share due: 42.20		State Share transferred: 33.16		
Summary of SAAP III (Amounts in Rs. Crore)					
SAAP Size			Rs. 555.58		
CA			Rs. 262.41		
States Share			Rs.293.17		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	4	1	21	0	0
Amount (Rs.Cr)	346.14	196.94	12.50	0	0
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed		
7	7	7	0		
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
7	0	0	5	YES	
Comments of IFD					
Only 2% projects of SAAP-I has been awarded and 29% DPRs of total SAAPs have been approved. The State Govt has not released due State share.					

### Decision of Apex Committee

The Apex Committee noted that the SAAP III proposal of the State is in order. However, State Government has not fulfilled all the conditions of award of contracts, approval of DPRs and fund transfer. It was therefore decided to withhold approval of the proposal (SAAP III) till the State reports desired progress.

### Agenda Item No. 8

Assam					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
188.16	218.67	0	0	0	0
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 33.87		Central Share transferred: Nil		
	State Share due: 3.76		State Share transferred: Nil		
SAAP II	Central Share released: 39.36		Central Share transferred: Nil		
	State Share due: 4.37		State Share transferred: Nil		
Summary of SAAP III (Amounts in Rs. Crore)					
SAAP Size			Rs. 250.31		
CA			Rs. 225.28		
States Share			Rs. 25.03		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	1	0	4	0	1
Amount (Rs.Cr)	161.35	0	6.22	0	82.74
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done		Mission Cities for which work awarded		Mission Cities for which work completed
4	0		1		0
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
4	4	0	4	1	
Comments of IFD					
There shall be no over-commitment of Central share in all three SAAPs put together beyond the Central share indicated by the Ministry for the entire Mission period i.e Rs. 591.42Cr. State Govt has not fulfilled any condition laid down by Apex Committee.					

### Decision of Apex Committee

The Apex Committee, considered the SAAP III proposal of the State. It was observed that the State has made no progress at all on any front. Even the Central Assistance has not been transferred so far. In this circumstances, there was no point of sanctioning the next SAAP. It was therefore decided to defer consideration of the SAAP. The State was asked to take special steps to execute the earlier SAAPs as far as possible and come up with the progress in the next meeting of the Apex Committee. AS(UD) directed that a letter may send to the Chief Secretary(Assam) to review the position and advise the officers for expeditious action.

### Agenda Item No. 9

Arunachal Pradesh					
Summary of Progress (Amounts in Rs. Crore)					
Size of SAAP I	Size of SAAP II	Projects awarded	Project awarded as % of SAAP I	DPRs Approved	As % of Total SAAP
40.94	46.67	Nil	Nil	Nil	Nil
Status of fund transfer against SAAP I & II					
SAAP I	Central Share released: 7.37		Central Share transferred: 7.30		
	State Share due: 0.82		State Share transferred: Nil		
SAAP II	Central Share released: 8.40		Central Share transferred: Nil		
	State Share due: 0.93		State Share transferred: Nil		
Summary of SAAP III (Amounts in Rs. Crore)					
SAAP Size			Rs. 52.64		
CA			Rs. 47.38		
States Share			Rs. 5.26		
Project details:					
Sector	Water	Sewerage	Parks	Drainage	Urban mobility
No. of projects	0	1	1	1	0
Amount (Rs.Cr)	0	21.64	1	30	0
Summary of Major Reforms:					
Credit Rating					
No. of Mission Cities	Mission Cities for which Credit Rating being done	Mission Cities for which work awarded	Mission Cities for which work completed		
1	1	1	0		
Energy Efficiency					
No. of Mission Cities	Water Pump		Street lights		
	Mission cities identified	Mission cities tied up with EESL/ others	Mission cities identified	Mission cities tied up with EESL/ others	
1	1	0	1	0	
Comments of IFD					
There shall be no over-commitment of Central share in all three SAAPs put together beyond the Central share indicated by the Ministry for the entire Mission period i.e Rs. 126.22 Cr. None of the conditions fixed by Apex Committee for approval of SAAP-III has been fulfilled by					

State Govt.

### Decision of Apex Committee

The Committee considered the SAAP III of Arunachal Pradesh. It was observed that the State has made no progress at all on any front.

- The Water supply coverage proposed is not 100% and state should look for other sources or reallocate the fund to achieve 100 % coverage.
- The ASCII, Hyderabad is appointed as capacity building agency for the State. Additional Secretary (UD) suggested that other nearby institutes to be identified for imparting training and ASCII may be utilized for special training.
- The State had not fulfilled the conditions of fund transfer, award of contracts, approval of DPRs and award of work for Credit Rating.

It has been therefore decided to defer the SAAP. The State was asked to take special steps to expedite the implementation of earlier SAAPs as far as possible and resubmit the SAAP in the next meeting.

### Common Conditions for all SAAPs:

- i. The State/UT Govts. shall, in respect of each project, explore the possibility of funding through PPP mode, annuity based funding as well as utilising the funds available to the ULBs through State Finance Commission and 14th Finance Commission. An analysis of such options in respect of each project shall be presented by the Mission Directorate before the SHPSC at the time of seeking approval for projects.
- ii. In case the funding in a project through these sources has not been tied up, the SHPSC should satisfy itself about the reasons for the same.
- iii. The State/UT Govts shall ensure that the works are executed through the ULBs. In case the works are to be executed through para-statal agencies, the process and conditions specified in the Mission Guidelines in this regard shall be strictly followed.
- iv. State Govts. needs to clearly indicate about the availability of Land and other clearances. No projects should be approved by State Level Technical Committee (SLTC) which do not have land available and no work order should be issued till receipt of all clearances from all concerned departments/authorities.
- v. Re-cycling/re-use of waste water and reduction of NRW should be given focus while approving DPRs.
- vi. The State Govts. should ensure convergence between the AMRUT, Smart Cities, SBM, other related Schemes and Externally aided projects according to Mission Guidelines.
- vii. Estimates in the SAAP should be based on prevailing official schedule of rates and not on market rates.
- viii. Implementation of reforms will make States/UTs eligible for annual incentive. In order to get incentives reforms should be broken up into activities with timelines and sent to TCPO by the State Mission Director.
- ix. The approvals towards Urban Mobility shall not be used for procurement of buses.

- x. No incomplete JnNURM projects should be taken up in AMRUT and the SLTC will ensure adherence to the Guidelines.
- xi. In case, the appraised cost of projects in previous SAAP exceeds the approved SAAP size for the year, the SAAP may be limited to approved SAAP size by removing some projects. Such projects may either be taken up in the next of SAAP or taken up by the State Govt. through convergence with other schemes or borne by the State/UT Government.
- xii. States/UTs must ensure that there is no duplicity of projects/work and projects/item of works already approved under previous SAAP is not form the part of SAAP again.

#### **Agenda Item No.10**

The Committee approved the proposal for booking an expenditure of Rs 70 Lakhs on TA Bills from A&OE funds under AMRUT.

**The meeting ended with a vote of thanks to the Chair.**

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List of Participant in the meeting of the 16<sup>th</sup> Apex Committee under AMRUT on 02.02.2017

1. Shri Durga Shankar Mishra, Additional Secretary(UD)- **Chairman**
2. Shri B. Anand, Joint Secretary, MoUD
3. Smt Jhanja Tripathy, JS&FA, MoUD
4. Shri Praveen Prakash, JS(SBM&PHE)
5. Shri Shiv Pal Singh, Director (AMRUT) MoUD.
6. Smt Yashodhara. Vijayan, Deputy Secretary IFD MoUD.
7. Smt Y. Jaya Priyadarshani, SRO(MU), NITI Aayog.
8. Shri. G. Ravinder, Dy. Secretary (NURM), MoUD.
9. Shri V. Ponnuraj, Secretary (M&UDA), Karnataka.
10. Shri Arun Kumar Singh, Principal Secretary, Urban Development & Housing Department, Jharkhand.
11. Shri Rajesh Kumar Sharma, Director (SUDA) Govt. of Jharkhand.
12. Shri K.Kannababu, Director Municipal ADMN Andhra Pradesh.
13. Shri P.Jawahar, Secretary LAD Crop Puducherry
14. Dr.M.Dhinadhayalan, OSD(SCM&AMRUT) Puducherry.
15. Shri Subhash Gupta, Joint Director Urban Development, Uttarakhand
16. Smt Neera Gogoi Sonowal, Additional Secretary (UDD & MD) AMRUT Asaam.
17. Shri Pankaj Rai, Commissioner MC Shimla.
18. Shri Ken Kedetsu, Mission Director UD Nagaland.
19. Shri Sukhvinder Singh, Sr. Town Planner Arunachal Pradesh.
20. Er. G.R.Zargar, Sr. Consultant MoDWS
21. Shri Nagen Kalita , Superintending Engineer. T&CP UDD Assam.
22. Shri Petevilie Khatsu, Nodal Officer Nagaland.
23. Shri J.B.Ravinder, Joint Adviser (PHEE) CPHEEO,MoUD
24. Shri J.K.Kapor, TCPO.
25. Smt Promila Bhalla, Associate TCPO.
26. Shri Tosh Agarwal, EESL.
27. Shri Venkata Srinivas PP, EESL.