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1 Checklist

Consolidated State Annual Action Plan of all ULBs to be sent for Assessment by MoUD (Table 6.2)

Sr. No.	Area of appraisal	Yes/No	Give Details
1	Have all the Cities prepared SLIP as per the suggested approach?	YES	First Priority has been given to UNIVERSAL COVERAGE. i.e. Water supply and Sewerage/ Septage but
2	Has the SAAP prioritized proposed investments across cities?	YES	All ULBs are assessed based on existing level of service for universal coverage and accordingly, prioritized proposed investment across cities.
3	Is the indicator wise summary of improvements proposed (both investments and management improvements) by State in place?	YES	Indicator wise improvements proposed both for investment and management has been considered as per requirement
4	Have all the cities under Mission identified/done baseline assessments of service coverage indicators?	YES	Base line assessment of service coverage has been done for all mission cities
5	Are SAAPs addressing an approach towards meeting Service Level Benchmarks agreed by Ministry for each Sector?	YES	SAAP has been prepared to meet Service Level Benchmarks as agreed by Ministry for each Sector
6	Is the investment proposed commensurate to the level of improvement envisaged in the indicator?	YES	Investment proposed commensurate with Service Level Improvement envisaged in the indicator
7	Are State Share and ULB share in line with proposed Mission approach?	YES	Depending upon the size of city state and ULB share are proposed in line of Mission approach. As per the OM issued from MoUD funding to NE States with at least 40% fund contribution by the State is 90:10. The 10% share of the project cost will be bearded by the State/ ULB
8	Is there a need for additional resources and have state considered raising additional resources (State programs, aided projects, additional devolution to cities, 14th Finance Commission, external sources)?	YES	Efforts are being made to mobilise additional financial resource through 14th Finance Commission, State programmes , PPP options & own funds of ULBs etc.,

Sr. No.	Area of appraisal	Yes/No	Give Details
9	Does State Annual Action Plan verify that the cities have undertaken financial projections to identify revenue requirements for O & M and repayments?	YES	SAAP has been prepared considering O & M charges to be reimbursed by collecting User Charges, cost of O & M to be borne by state and ULBs
10	Has the State Annual Action Plan considered the resource mobilization capacity of each ULB to ensure that ULB share can be mobilized?	YES	SAAP has been prepared considering Financial Positions of ULBs & if required funds will be raised through other financial options and mechanisms
11	Has the process of establishment of PDMC been initiated?	YES	Yes. RFP for PDMC has been already Published.
12	Has a roadmap been prepared to realize the resource potential of the ULB?	YES	Resource potential of each ULB has been considered while preparing the SAAP. Alternate fund sources for financially weak ULBS is being arranged
13	Is the implementation plan for projects and reforms in place (Timelines and yearly milestones)?	YES	All departments concerning implementation of reforms have been directed to implement reforms in given time lines
14	Has the prioritization of projects in ULBs been done in accordance with para 7.2 of the guidelines?	YES	Prioritization of projects has been done accordance to AMRUT Guidelines (para7.2). Priority has been given where service level gap is more in order to achieve universal Coverage.

Sd/
Mission Director (AMRUT)
Urban Development Department
Guwahati, Assam

2

Minutes of SHPSC

3

ABSTRACT OF PROJECT FUND

Sector Wise Breakup of Consolidated Investments for all ULBs in the State								
Amount is Rs Crore								
Sr. No	Name of City	Water Supply	Sewerage	Drainage	Parks	Transportation	Reforms	Total
1	Guwahati	0.00	434.775	0.00	10.45	0.00	0.00	445.225
2	Nagaon	221.65	83.60	80.025	5.913	60.50	0.00	451.688
3	Dibrugarh	211.75	125.40	138.05	16.55	60.50	0.00	552.25
4	Silchar	122.46	220.77	295.57	19.48	90.211	0.00	748.491
Total		555.86	864.545	513.645	52.393	211.211		----
Total Project investment without expenses for Reforms								2197.654
A & OE								20
Grand Total								2217.654

1. The above figures are based on ball-park estimates. Final figures will be arrived after preparation of DPR for all projects which may vary from the proposed figures.
2. Additional expenditure other than CA and State share, ULBs have to bear from own sources of concerned ULBs.

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Abstract: Projects for Year 2015-16

Following projects are identified and approved for the FY 2015-16

Water Supply			
Sr. No.	Name of Town	Name of Projects	Estimated Cost (Rs. In Crore)
1	Nagaon	Water Supply Scheme in Nagaon City	51.78
2	Dibrugarh	Water Supply Scheme in Dibrugarh City	51.50
3	Silchar	Water Supply Scheme in Silchar City	48.20
Total Water Supply			151.48
Decentralized Sewerage /Septage Management			
Sr. No.	Name of Town	Name of Projects	Estimated Cost (Rs. In Crore)
1.	Guwahati	Storm Water Drainage in Guwahati City	Nil
2.	Nagaon	Storm Water Drainage in Nagaon City	10
3.	Dibrugarh	Storm Water Drainage in Dibrugarh City	10
4.	Silchar	Storm Water Drainage in Silchar City	11.95
Total Storm water Drainage			31.95

Green Space /Parks			
Sr. No.	Name of Town	Name of Projects	Estimated Cost (Rs. In Crore)
1.	Guwahati	Providing New Green Park at Paltan Bazar Fish Market	1.1825
2.	Nagaon	Pranab Baruah Children Park, Nehrubali, Nagaon	1.1825
3.	Dibrugarh	Providing New Green Park at Lakhinath Bezbaruah Park, Dibrugarh	1.1825
4.	Silchar	Upgradation of Gandhi Bagh ,Park road	1.1825
Total			4.73
Transportation			
Sr. No.	Name of Town	Name of Projects	Estimated Cost (Rs. In Crore)
1.	Guwahati	Transportation in Guwahati City	Nil
2.	Nagaon	Transportation in Nagaon City	Nil
3.	Dibrugarh	Transportation in Dibrugarh City	Nil
4.	Silchar	Transportation in Silchar City	Nil
Total			Nil

Storm Water Drainage		
Guwahati	Storm Water Drainage in Guwahati City	Nil
Nagaon	Storm Water Drainage in Nagaon City	Nil
Dibrugarh	Storm Water Drainage in Dibrugarh City	Nil
Silchar	Storm Water Drainage in Silchar City	Nil
Total Storm water Drainage		Nil

AMRUT Mission

On 25th June 2015, Ministry of Urban Development (MoUD), Govt. of India launched Atal Mission for Rejuvenation and Urban Transformation (AMRUT). AMRUT will be implemented in nominated 500 cities and towns each with a population of one lakh and above, some cities situated on stems of main rivers, a few capital cities and important cities located in hilly areas, islands and tourist areas.

Assam has been allocated 4 cities to be selected as AMRUT cities. AMRUT adopts a project approach to ensure basic infrastructure services relating_

- to water supply,
- sewerage, septage management,
- storm water drains,
- transport and
- Development of green spaces and parks with special provision for meeting the needs of children.

Implementation of AMRUT Mission is linked to promotion of urban reforms such as e - governance, constitution of professional municipal cadre, devolving funds and functions to urban local bodies, review of Building bye-laws, improvement in assessment and collection of municipal taxes, credit rating of urban local bodies, energy and water audit and citizen-centric urban planning.

Under this Mission, States get the flexibility of designing schemes based on the needs of identified cities and in their execution and monitoring. States have to evaluate Service Level Improvement Plans (SLIP) prepared by ULBs as well as to prepare State Annual Action Plan (SAAP), which have to be submitted to Apex Committee of MoUD for approval, based on which funds will be released. MoUD will not appraise individual projects.

As per the guidelines Central assistance will be

- to the extent of 50 percent of project cost for cities and towns with a population of up to 10 lakh
- One-third of the project cost for those with a population of above 10 lakh. Central assistance will be released in three installments in the ratio of 20:40:40 based on achievement of milestones indicated in State Annual Action Plans.

However, as per the OM issued by MOUD for North Eastern States the Central assistance will be 90% of the project Cost.

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Assam AMRUT Mission

6.1 Preamble

The State of Assam has an urban population of 0.44 crores accounting for about 14% of the total population of the State, that is, 3.12 crores. Census 2011 statistics indicate that about 14% of Assam's population resides in cities and towns, indicating that the state's present urbanization level is much less than the national average of 31.16%.

The impact of urbanization in Assam is expected to be much more prominent as compared to any other state in India on account of some large industrial infrastructure projects which are underway. One of the key projects among these is the Delhi Mumbai Industrial Corridor (DMIC), which will create new cities as part of its development. This will create multitude of opportunities for people from other states and in turn fuel further growth in the urban population.

As per census 2011, there was 1 Class 1A town, 3 Class IB towns, 25 Class IC towns, 33 Class II towns, 78 Class III towns and 26 Class IV & below level towns.

Table 6.1.2: Census town in Assam

Class type	Population	No of Towns as per Census 2011
Class IA	> 5 Mn	1
Class IB	1 Mn to 5 Mn	3
Class IC	1 lakh to 1 Mn	26
Class II	50,000 to 1 lakh	33
Class III	20,000 to 50,000	78
Class IV & below	<20000	26

Source: Census 2011

Administratively, Assam has classified ULB in A, B, C and D classes. Currently, there are 170 Urban Local Bodies (ULBs) in Assam; of which, 8 are Municipal Corporations and 162 are municipalities. All 8 Municipal Corporations of the state include urban population of 150 lakhs. Whereas, 22 "A" Class, 29 "B" Class, 45 "C" Class and 66 "D" Class Municipalities include population of nearly 60 lakhs.

Table 6.1.3: Classification of ULBs as per UD & UHD

Type of ULBs	Population	No of Town as per census 2011	Remarks
Municipal Corporations	Above 2.5 lakhs	01	AMRUT Cities
Class A	Above 1.0 lakhs	03	AM RUT Cities
Class B	50,000-1,00,000	24	
Class C	25,000-50,000	33	
Class D	15,000-25,000	24	

Source: Urban Development and Urban Housing Department, Government of Assam

6.2 Assam: Urban Local Bodies

Urban local bodies in Assam are formed as democratic institutions based on the principle of self-government and represent peoples desires and strengths. Presently, one city is governed by the Municipal Corporation constituted under the provision of the Guwahati Municipal Corporation Act 1971. All other urban areas viz., Class A to Class D municipalities is governed by municipalities constituted under the Assam Municipalities Act, 1963.

All Municipal Corporation and Municipalities have elected bodies since long. In case of Municipal Corporations the Mayor, while in case of municipalities the Chairman / Chairperson municipality, is chief elected representative in the concerned city and ULB. In Municipal Corporations, Municipal Commissioner is the Chief Executive Officer deputed to the city from Indian Administrative Service cadre. At municipality, the Chief Officer is the Executive Officer. Local Bodies are expected to have a profound impact on the performance of the economy of the country by utilizing local resources and tapping human potentialities to the fullest. In the present context, with massive urban growth and the economic liberalization, heavy responsibility is placed on urban local bodies. They are responsible for the improvement of the efficiency of programmes and services, to mobilize local resources and to provide coherent planning and delivery of the services at the local level. All municipal acts in Assam provide for functions, duties and responsibilities to be carried out by the Municipal Administration. These are divided in two categories obligatory or discretionary.

1. Obligatory functions:

- Supply of pure and wholesome water;
- Construction and maintenance of public streets;
- Lighting and watering public streets;

- Cleansing public streets, places and sewers;
- Regulation of offensive, dangerous or obnoxious trades and callings or practices;
- Maintenance or support of public hospitals;
- Establishment and maintenance of primary schools;
- Registration of births and deaths;
- Removing obstructions and protections in public streets, bridges and other places;
- Naming streets and numbering houses. Etc.,

2. Discretionary functions

- Laying out of areas;
- Securing or removing dangerous buildings or places;

- Construction and maintenance of public parks, gardens, libraries, museums, rest houses, leper homes, orphanages and rescue homes for women, etc.;
- Planting and maintenance of roadside and other trees;
- Housing for low income groups;
- Making a survey;
- Organizing public receptions, public exhibitions, public entertainment, etc.;
- Provision of transport facilities with the municipality;
- Promotion of welfare of municipal employees;
- Providing music for the people etc.

6.3 Assam: AMRUT Mission Cities

AMRUT Mission will be implemented in 500 cities and towns each with a population of one lakh and above, some cities situated on stems of main rivers, a few capital cities and important cities located in hilly areas, islands and tourist areas. Based on these criteria, 31 cities/towns of Assam are selected as AMRUT Mission cities by the MoUD, Govt of India.

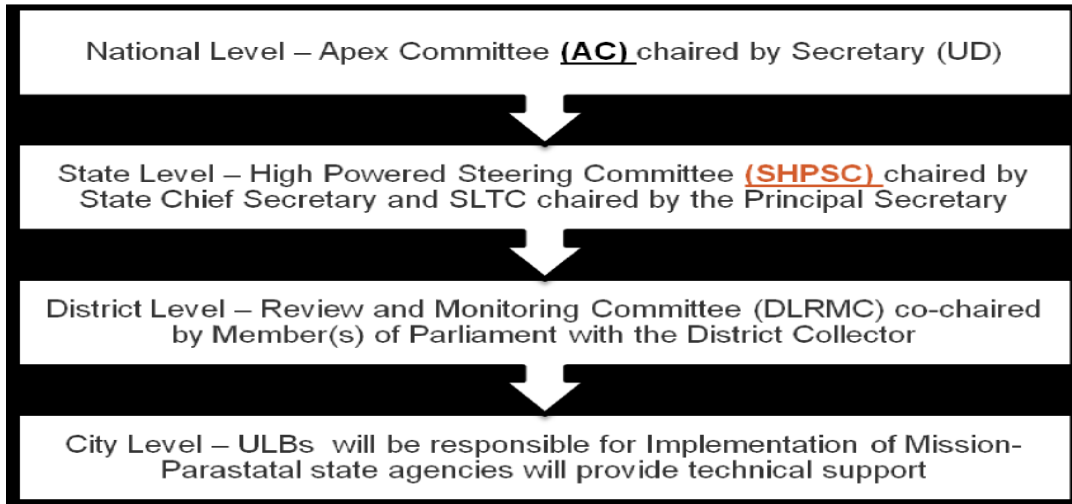


Table 6.3.1: List of Municipal corporations and Municipalities selected under AMRUT Mission

Cities having Municipality and less than more than 10,00,000 population			
Sr. No.	No.	City	Population
1.	1.	Guwahati	9,57,352
2.	2.	Nagaon	1,17,722
3.	3.	Dibrugarh	1,38,661
4.	4.	Silchar	1,72,709

6.4 Assam AMRUT Mission: Program Management Structure

State has analysed the inter-ULB allocation based on gap analysis and financial strength of ULBs and choose those ULBs in the first year that have higher gaps in provision of water supply and sewerage. The prioritization of ULBs for funding are made after consultation with Commissioner/Executive Officer, Chairman of Municipalities, local MPs, Mayors and Councilar/Commissioners of the concerned ULBs. Financially weaker ULBs are identified for more allocation. Moreover, the potential Smart cities are given preference, based on this exercise city projects have been identified. All 4 cities had prepared and submitted their SLIP. Out of which, projects for universal coverage for drinking water and waste water (sewerage) were identified. State has prepared list of identified projects for SAAP with three times the Central Assistance (CA) allocated to the State during 2015-16. With due diligence, SAAP has been prepared and submitted to SHPSC for consideration. After detailed deliberations in SHPSC meeting dated 20th January, 2016 considered SAAP and decided to recommend the same for approval from the Apex Committee. Projects will be executed by parastatal agencies/ULBs themselves.



6.5 Assam: Funding pattern for AMRUT Mission Cities

The total outlay for AMRUT is Rs. 50,000 crore for five years from FY 2015-16 to FY 2019-20 for about 500 cities. For North Eastern States 10% amount will be required to be contributed by State and ULBs. The Mission will be operated as a Centrally Sponsored Scheme. The Mission funds will consist of the following four parts:

- i. **Project fund - 80% of the annual budgetary allocation:** The project fund will be divided among States/UTs at the beginning of each year. An equitable formula will be used to distribute the annual budgetary allocation in which equal (50:50) weightage is given to the urban population of each State/UT (Census 2011) and the number of statutory towns in the State/UT. As the number of statutory towns are notified by States/UTs and will change during the Mission period, the formula will take into account changes in this number every year. The amount of project fund allocated will be informed to the States/UTs at the appropriate time. The Central Assistance (CA) for the projects will be in three installments of 20:40:40 of the approved cost.
- ii. **Incentive for Reforms - 10% of the annual budgetary allocation.:** For Incentive for Reforms 10% of additional CA will be given
- iii. **State funds for Administrative & Office Expenses (A&OE) - 8% of the annual budgetary allocation:** The use of these funds will be recommended by the SHPSC and will form a part of the State Annual Action Plan (SAAP). This fund will be utilised for capacity building programmes and payment of salary and purchase of furniture and fixtures, Hiring of professionals and support teams on contract to support the implementation of Mission at all levels.
- iv. **MoUD funds for Administrative & Office Expenses (A&OE) - 2% of the annual budgetary allocation:** The fund will be utilized at the National Mission Directorate level (including the Urban Transport Division) for capacity building, Mission Directorate, convening National & Regional Workshops, giving awards and recognition of best practices, up-scaling and replication of best practices and smart solutions, commissioning of research and applied studies through, say, Centres of Excellence and other institutions and international cooperation for capacity building and technology development etc.

However, for FY 2015-16 the project fund would be 90% of the annual

budgetary allocation as incentive for Reforms will be given only from FY 2016-17 onwards. The Mission funds would be allocated to States/UTs based on the following principles.

Funding Pattern: The funding pattern of projects indicating the share of Central Government/State Government/ ULBs/private sector is given below.

- One-third of the project cost as grant from GoI for cities with a population of above 10 lakh.
- One-half of the projects cost as grant for cities/towns with population up to 10 lakh.
- Balance funding by State Governments / ULBs or through private investment
- The tender will include O & M for five years based on user charges. For the purpose of calculation of the project cost, the O&M cost will be excluded; however, the States/ULBs will fund the O&M through an appropriate cost recovery mechanism in order to make them self-reliant and cost-effective.

Table 6.5.1 Assam has decided following contribution:

Cities	Central Share (as per MoUD guidelines)	State Share	ULB Share	Total
Population less than 1 million (all others)	90%	10%	-	100%

Assam: Sector wise Project Funding in AMRUT Mission

Considering priorities to Drinking Water, Storm water drainage and Sewerages sectors, following is the planning for Assam AMRUT cities sector wise project fund which also includes other sources addition to above AMRUT allocation

Table 6.5.3 Sector wise Project Funding in AMRUT Mission (For Mission Period)

Sr. No.	Sector	Total Rs. in crore
1	Water Supply	555.86
2	Sewerage and Septage Management	864.54
3	Drainage	513.66
4	Urban Transport	211.21
5	Open Spaces	52.39
6	Grand Total	2197.66

7

Assam AMRUT Mission: State Annual Action Plan (SAAP)

7.1 Preamble

The AMRUT project funds to ULBs through the States shall be provided on the basis of proposals submitted in State Annual Action Plan (SAAP). SAAP is basically a State level service improvement plan indicating the year-wise improvements in water-supply and sewerage connections to households. The basic building block for the SAAP is the SLIPs prepared by the ULBs. At the State level, the SLIPs of all 4 Mission cities are aggregated into the SAAP. While preparing SAAP following information are essentials:

1. Has the State Government diagnosed service level gaps?

Yes. All 4 mission cities have prepared their SLIP, after due consultation with various stakeholders. State Government has diagnosed service level gaps for the state as per the information provided by respective ULBs in Service Level Improvement Plan (SLIP).

2. Has the State planned for and financed capital expenditure?

Yes. State Government has agreed to provide matching share with Govt of India share against the Capital expenditure, in fund sharing pattern as described above in para 5.6. State has also decided to support ULBs with additional fund by other resources like state scheme viz., Swarnim Jayanti Mukhya Mantri Shaheri Vikas Yojana (SJMMSVY) a, 14 Finance Commission grant to ULBs, etc.

3. Has the State moved towards achievement of universal coverage in drinking water supply and sewerage/septage?

Yes. State has assessed the gap in universal coverage i.e. drinking water supply and sewerage. Most of ULBs did substantial progress in this regard due to state assistance scheme under flagship scheme Swarnim Jayanti Mukhya Mantri Shaheri Vikas Yojana (SJMMVY). Remaining works to achieve universal coverage have been identified and projects are planned to be carried out under AMRUT to fulfill the gap

4. What is the expected level of the financial support from the Central Government and how well have State/ULB and other sources of finance been identified and accessed?

It is expected to have at least 50% and State /ULBs and other sources of finance have been identified as per AMRUT guideline. However Assam State have GOI share 90% and others 10%.

5. How fairly and equitably have the needs of the ULBs been given due consideration?

Need & Gap analyses were carried covering all 4 ULBs, UDD, Govt of Assam. This has ensured fairly and equitably needs of all ULBs.

- 6 . Have adequate consultations with all stakeholders been done, including citizens, local MPs and other public representatives?

Yes, it is done. Stakeholder consultation is continuous process, which will continue during the implementation and monitoring of all mission projects.

7.2 Principles of Priorities:

By using the SLIPs of all 4 cities, gaps have been chosen as TOP Priority sectors for AMRUT Mission. Weaker ULBs are given preference. Conscious decision has also taken to scientific sewerage network and septage management system. Smart City Mission cities are also considered for higher priorities. ULBs with high gap in sewerage and drinking water were selected for implementation in the first year of Mission period. Important information and response to the following questions supports “Principle of priorities”:

1. Has consultation with local MPs/ MLAs, Mayors and Commissioners of the concerned ULBs been carried out prior to allocation of funding?

Yes, State has analyzed the inter-ULB allocation based on gap analysis and financial strength of ULBs and choose those ULBs in the first year that have higher gaps in provision of drinking water supply and sewerage. The prioritization of ULBs for funding are made after consultation with Commissioner/Executive Officer, Chairman of Municipalities, local MPs, Mayors and Commissioners of the concerned ULBs. Financially weaker ULBs are identified for more allocation. Moreover, the potential Smart Cities are given preference.

2. Has financially weaker ULBs given priority for financing?

Yes, ULBs having poor financial positions have been given priorities for allocation of funds.

3. Is the ULB with a high proportion of urban poor has received higher share? Yes. In Assam all most all ULBs have more or less similar proportion of urban poor. However, due care has been take while making the SAAP, to provide more allocation to ULBs with comparatively higher proportion of urban poor.

4. Has the potential Smart cities been given preference?

Yes.

5. How many times projects are proposed in SAAP of the Central Assistance (CA) allocated to the State during 201 5-1 6?

Assam State Annual Action Plan during 2015-16 has been prepared with projects amounting three times of the Central Assistance (CA) allocated to the State.

6. Has the allocation to different ULBs within State is consistent with the urban profile of the state?

Yes. Allocation to different ULBs within state are made with urban profile of the state, with due consideration principle of priorities, as stated above.

3. Importance of O & M :

1. Has Project being proposed in the SAAP included O & M for at least five years? Yes.

All projects being proposed in the SAAP include O & M for five years. Tender and bid will also be invited considering this aspects. State and ULB will take care of expense for O & M by ways of recovery of user charges and other alternatives financial options like PPP etc.

2. How O&M expenditures are propose to be funded by ULBs/ parastatal?

As stated above, O & M expenditure of the assets created are proposed through recovery of user charges, uniform rise in tariff structure, reduction of losses, PPP and partly by state Govt financial support.

3. Is it by way of levy of user charges or other revenue streams?

It is planned to have recovery through User Charge, however in case of some gaps state will support to ULB through grant mechanism.

4. Has O&M cost been excluded from project cost for the purpose of funding?

Yes. O & M cost for five years are calculated under project cost and excluded to calculate SAAP and for the purpose of funding .

5. What kind of model been proposed by States/U LBs to fund the O&M?

Project contract will include responsibility of 5 years O& M liability. Innovative mechanism & cost effective technology will be adopted for deigning the project. Focus on reduction of losses & leakages, efforts of recycle & reuse of waste water by industries will be added in project design itself.

6. Is it through an appropriate cost recovery mechanism in order to make them self-reliant and cost-effective?

Yes. As stated above, efforts will be made for 100% O & M recovery. In case of not fulfilling the achievement, State will support to ULB by grant mechanism.

7.4 Financing of Projects:

Financing is an important element of the SAAP. Each state has been given the maximum share which will be given by the Central Government. State has plan for the remaining resource generation at the time of preparation of the SAAP. Financial share of cities varies according to size of cities and as per AMRUT guidelines. Following responses are supporting direction of State for financing of projects for AMRUT mission cities.

1. How the residual financing (over and above Central Government share) is shared between the States, ULBs?

State has decided following financial pattern (considering appropriate CA as per AMRUT Mission guidelines)

Table 7.4.1 Financial pattern

Cities	Central Share	State Share	ULB Share	Total
For All North Eastern Cities	90%	10%	-	100%

2. Has any other sources identified by the State/ULB (e.g. PPP, market borrowing)?

Yes. 14 Finance commission, MP, MLA funds, entertainment grant, own income etc. have been identified by the State/ ULBs as and when required to be tapped.

3. What is the State contribution to the SAAP? (it should not be less than 20 percent of the total project cost, Para 7.4 of AM RUT Guidelines)

As described in Question: 1 above

4. Whether complete project cost is linked with revenue sources in SAAP?

Yes. It is attempted and SAAP has been prepared accordingly

5. Question: Has projects been dovetailed with other sectoral and financial programme of the Centre and State Governments?

Yes, dovetailing / convergence of ongoing/ sanctioned projects under JnNURM, Smart City, SBM , have been given due consideration during the preparation of SLIPs of all 4 AMRUT mission cities of the State.

6. Is state planning to create a Financial Intermediary, in order to pool funds from all sources and release funds to ULBs in time? Please provide detail.

Yes. It is under consideration to make existing organization.

7. Has States/UTs explored the possibility of using Public Private Partnerships (PPP), as a preferred execution model?

Yes. It is under consideration.

8. Are PPP options included appropriate Service Level Agreements (SLAs) which may lead to the People Public Private Partnership (PPPP) model?

An attempt will be made for making appropriate Service Level Agreement in future projects.

Annexure 2: State Annual Action Plan
State Annual Action Plan (SAAP)
ATAL MISSION FOR REJUVINATION AND URBAN TRANSFORAMTION
(AMRUT)

Name of State: ASSAM

Time Period: 2015-16

This Report consists of:

Sr. No.	Content	Page No.
1	Abstract: Consolidated Requirement of State and Share of Each Stockholder	
3	State Annual Action Plan (SAAP) derived from SLIP	
4	Plan of Action for Administrative and Office Expenses (A&OE)	
5	Plan of Action for Reform Implementation	

Report by: -

Date: -

ASSAM	
SAAP –	Abstract of State Annual Action Plan (SAAP)
Table No.	Content
1.1	Breakup of Total MoUD Allocation in AMRUT
1.2.1	Sector Wise Proposed Total Project Fund and Sharing Pattern
1.2.2	Breakup of Total Fund Sharing Pattern
1.3	Use of Funds on Projects: On-going and New
1.4	Plan for Achieving Service Level Benchmarks

8 State Annual Action Plan (SAAP) Tables

Abstract of State Annual Action Plan (SAAP)

Table 1.1 SAAP – Breakup of Total MoUD Allocation in AMRUT					
FY:201 5-16					
Amount is Rs Crore					
Total Central funds allocated to State	Allocation of Central funds for A&OE (@ 8% of Total given in Column 1)	Allocation of funds for AMRUT (Central share)	Multiply col.3 by *3) for AMRUT on col. 4 (project proposal to be three -times the annual allocation -CA)	(col. 4) State/ULB share	Total AMRUT annual size (col. 2+4+5)
1	2	3	4	5	6
61.69	5.24	56.45	169.34	18.816	193.34

Table 1.2.1 SAAP – Abstract Sector Wise Proposed Total Project Fund and Sharing Pattern

Sr. No.	Sector	No. of Project	Centre	State	ULB	Convergence	Other	Total
1.	Water Supply	3	136.32	15.14	-	-	-	151.48
2.	Swirage and Septage Management	3	28.76	3.19	-	-	-	31.95
3.	Drainage	Nil	Nil	Nil	-	-	-	0.0
4.	Urban Transport	Nil	Nil	Nil	-	-	-	0.0
5.	Others	4	4.26	0.47	-	-	-	4.73
Grand Total			169.34	18.81	-	-	-	188.16

SAAP – Abstract - Break-up of Total Funding Sharing Pattern

ASSAM											
Table 1.2.2 SAAP – Abstract - Break-up of Total Funding Sharing Pattern											
Amount is Rs Crore											
Sr.No.	Sector	Centre	State			ULB			Convergence	Others	Total
			14th FC	Others	Total	14 th FC	Others	Total			
1	Water Supply	136.32	15.14	-	15.14	-	-	-	-	-	151.48
2	Sewerage and Septage Management	28.76	3.19	-	3.19	-	-	-	-	-	31.95
3	Drainage	Nil	Nil	-	Nil	-	-	-	-	-	0.0
4	Urban Transport	Nil	Nil	-	Nil	-	-	-	-	-	0.0
5	Others	4.26	0.47	-	0.47	-	-	-	-	-	4.73
Grand Total		169.34	18.81	-	18.81	-	-	-	-	-	188.16

SAAP –Abstract - Plan for Achieving Service Level Benchmarks

Table 1.4 SAAP – Abstract - Plan for Achieving Service Level Benchmarks									
Amount is Rs Crore									
Proposed Priority Projects	Total Project Cost	Indicator*	Baseline**	Annual Targets based on Master Plan (Increment from the Baseline Value)					
				FY 2016 H1	FY 2016 H2	FY 2017	FY 2018	FY 2019	FY 2020
Water Supply									
	1010.65	1. Household level coverage of direct water supply connections	34.25	40	40	60	80	90	100
		2. Per capita quantum of water supplied	90.50	105	105	115	125	130	135
		3. Quality of water supplied	86.60						
Sewerage and Septage Management									
	1571.90	4. Coverage of latrines (individual or community)	85.86	-	86	88	100		
		5. Coverage of sewerage network services	0.00	0	0	0	0	0	0
		6. Efficiency of Collection of Sewerage							
		7. Efficiency in treatment							
Drainage									
	NIL	8. Coverage of storm water drainage network	17.25	17.25	17.25	17.25	17.25	17.25	17.25
Urban Transport									
	NIL	9. Service coverage of urban transport in the city (No of buses)	281						
		10. Availability of urban transport per 1000 population	0.5 bus per 10000						
Others									
	95.24								
* As per SLB framework for water supply, sewerage, solid waste management and drainage and proposed SLB indicator for urban transport									



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** Detailed information for arriving at % target against baseline shall be worked out from details provided by Cities so as to arrive at State indicators

SAAP – Master Plan of all projects details to achieve universal coverage during the current Mission

period (FYs 2015-16 and 2019-20)

Table 3.1 SAAP – Master Plan of all projects: to achieve universal coverage during (FYs 2015-16 and 2019-20)				
Amount is Rs Crore				
Sr.No	Name of ULB (water supply and sewerage)	Total number of projects to achieve universal coverage	Estimated Cost	Number of years to achieve universal coverage
1	2	3	4	5
1	Guwahati	01	434.77	5
2	Nagaon	02	305.25	5
3	Dibrugarh	02	337.15	5
4	Silchar	02	343.22	5
Grand Total		07	1420.39	

SAAP – Sector Wise Breakup of Consolidated Investments for all ULBs in the State

Table: 3.2 Sector Wise Breakup of Consolidated Investments for all ULBs in the State								
FY:2015-16								
Amount is Rs Crore								
Sr.No.	Name of City	Water Supply	Sewerage and Septage Management	Drainage	Urban Transport	Parks	Reforms	Total without Reforms
1	2	3	4	5	6	7	8	9
1	Guwahati	NIL	NIL	0.00	0.00	1.1825	0.00	1.1825
2	Nagaon	51.78	10.00	0.00	0.00	1.1825	0.00	62.9625
3	Dibrugarh	51.50	10.00	0.00	0.00	1.1825	0.00	63.6825
4	Silchar	48.20	11.95	0.00	0.00	1.1825	0.00	61.3325
Grand Total		151.48	31.95	0.00	0.00	4.73	0.00	188.156

SAAP- State Level Plan Action for Physical and Financial Progress State Level Plan for Achieving Service Level Benchmarks

Table: 3.6 SAAP-State Level Plan Action for Physical and Financial Progress								FY:2015-16
Sector: Water Supply								
Sr. No.	Name of City	Performance Indicator	Base line (as of Date)	Mission Target	For the Financial Year 2015-16			
					For Half Year 1		For Half Year 2	
					Physical Progress to be achieved	Funds to be utilized	Physical Progress to be achieved	Funds to be utilised
1	Guwahati	House hold level coverage of water supply connection	80%	100%	0	0	0%	0.00
2	Nagaon	House hold level coverage of water supply connection	65%	100%	0	0	5%	10.3
3	Dibrugarh	House hold level coverage of water supply connection	65%	100%	0	0	5%	10.2
4	Silchar	House hold level coverage of water supply connection	70%	100%	0	0	8%	9.6
Total Rs in crore								30.10

Table: 3.6 SAAP-State Level Plan Action for Physical and Financial Progress

FY: 2015-16

Sector: Sewerage

Sr. No.	Name of City	Performance Indicator	Base line (as of Date)	Mission Target	For the Financial Year 2015-16			
					For Half Year 1		For Half Year 2	
					Physical Progress to be achieved	Funds to be utilised Rs in Crore	Physical Progress to be achieved	Funds to be utilised Rs in Crore
1	Guwahati	Efficiency in treatment	0%	100%		0	00%	0.00
2	Nagaon	Efficiency in treatment	0%	100%		0	00%	2.00
3	Dibrugarh	Efficiency in treatment	0%	100%		0	00%	2.00
4	Silchar	Efficiency in treatment	0%	100%		0	00%	2.00

Table: 3.6 SAAP-State Level Plan Action for Physical and Financial Progress								FY:2015-16	
Sector: Drainage									
Sr. No.	Name of City	Performance Indicator	Base line (as of Date)	Mission Target	For the Financial Year 2015-16				
					For Half Year 1		For Half Year 2		
					Physical Progress to be achieved	Funds to be utilized	Physical Progress to be achieved	Funds to be utilized	
1.	Nagaon	Coverage of storm water drainage network	20%	50%	0	0	0%	0	
2.	Dibrugarh	Coverage of storm water drainage network	20%	50%	0	0	0%	0	
3.	Silchar	Coverage of storm water drainage network	20%	50%	0	0	0%	0	
								Total Rs. In Crore	0

Table: 3.6 SAAP-State Level Plan Action for Physical and Financial Progress									
FY :2015-16									
Sector: Urban Transport									
Sr. No	Name of City	Performance Indicator	Base line	Mission Target	For the Financial Year 2015-16				
					For Half Year 1		For Half Year 2		
					Physical Progress to be achieved	Funds to be utilized	Physical Progress to be achieved	Funds to be utilised Rs in crore	
1	Guwahati	Service coverage of urban transport - CYCLE TRACK	0	25%	0	0	0%	0.00	
2	Nagaon	Service coverage of urban transport - CYCLE TRACK	0	25%	0	0	0%	0.00	

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3	Dibrugarh	Service coverage of urban transport - CYCLE TRACK	0	25%	0	0	0%	0.00
4	Silchar	Service coverage of urban transport - CYCLE TRACK	0	25%	0	0	0%	0.00
Total Rs. in Crore								0.00

Table: 3.6 SAAP-State Level Plan Action for Physical and Financial Progress

FY 201 5-16

			Sector: Green Park (Others)					
Sr.No.	Name of City	Performance Indicator	Base line (as of Date)	Mission Target	For the Financial Year 2015-16			
					For Half Year 1		For Half Year 2	
					Physical Progress to be achieved	Funds to be utilised	Physical Progress to be achieved	Funds to be utilised Rs in crore
1	Guwahati	Per Person Open Space in Plain Areas as per URDPFI						1
2	Nagaon	Per Person Open Space in Plain Areas as per URDPFI						1
3	Dibrugarh	Per Person Open Space in Plain Areas as per URDPFI						1
4	Silchar	Per Person Open Space in Plain Areas as per URDPFI						1
Total Rs in Crore								4

SAAP- SAAP-Broad Proposed Allocations for Administrative and Other Expenses

Name of State: Assam								
Table: 4 SAAP-Broad Proposed Allocations for Administrative and Other Expenses								
FY:2015-16								
Rs in Crores								
Sr.No	Items proposed for A & OE	Total Allocation	Comm itted Expenditure from previous year (if any)	Proposed spending for Current Financial year	Balance to carry forward			
					FY 2017	FY 2018	FY 2019	FY 2020
1	Preparation of SLIP & SAAP	1.00	0	1.00	0	0	0	0
2	PDMC	1.20	0	1.20	0	0	0	0
3	Procuring Third Party Independent Review and Monitoring Agency	0.18	0	0.18	0	0	0	0
4	Publications (e-Newsletter, guidelines, brochures etc)	2.86	0	2.86	0	0	0	0
	Capacity Building and Training -		0					
	CCBP if applicable		0					
7	Reform Implementation	0	0	0	0	0	0	0
Total		5.24	0	5.24	0			

SAAP- Reforms Type, Steps and Target for AMRUT cities FY 2015-16

Table: 5.1 SAAP-Reforms Type, Steps and			Target for AMRUT cities FY 2015-16			
Sr.No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP		Remarks (Present Status)
				April to Sept 2015	Octo 2015 to March, 2016	
1	E-Governance	Digital ULBs				All Municipal Corporations have own web site and facilitate with e-news letter etc., All municipalities have been covered state wide e-nagar scheme and sooner the web sites of all AMRUT mission city will be launched before completion of timeline
		1. Creation of ULB website.	6 months		yes	
		2. Publication of e-newsletter, Digital India Initiatives.	6 months			
		3. Support Digital India (ducting to be done on PPP mode or by the ULB itself).	6 months			
2	Constitution and professionalization of municipal cadre	1. Policy for engagement of interns in ULBs and implementation.	12 months		Yes	Partially accomplished & to be fully achieved within prescribed time line
3	Augmenting double	entry accounting				Partially accomplished & to be



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		1. Complete migration to double entry accounting system and obtaining an audit certificate to the effect from FY 2012-13 onwards.	12 months		Yes	fully achieved within prescribed time line
		2. Publication of annual financial statement on website.	Every year	Yes		

Table: 5.1 SAAP-Reforms Type, Steps and Target for AMRUT cities FY 2015-16						
Sr.No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP		
				April to Sept 2015	Octo 2015 to March, 2016	Remarks (Present Status)
4	Urban Planning and City Development Plans					
		1. Preparation of Service Level Improvement Plans (SLIP), State Annual Action Plans (SAAP).	6 months	Yes		SLIP & Tentative SAAP prepared
		2. Make action plan to progressively increase Green cover in cities to 15% in 5 years.	6 months	Yes		Work has been started to prepare an action plan to progressively increase Green cover in cities to 15% in 5 years. An action plan will be prepared before end of March 2016
		3. Develop at least one children park every year in the AMRUT cities.	Every year	Yes		Provision are made in SLIP
		4. Establish a system for maintaining of parks, playground and recreational areas relying on People Public Private Partnership (PPPP) model.	12 months		Yes	Assam had taken PPP innovation in most of U LBs. The same will be rolled out in systematic manner and PPPP system will be established
5	Development of funds and functions					



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		1. Ensure transfer of 14th FC devolution to ULBs.	6 months	Yes		Already accomplished. Funds are transferred to ULBs through Assam Municipal Finance Board to all ULBs
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Table: 5.1 SAAP-Reforms Type, Steps and			Target for AMRUT cities FY 2015-16			
Sr.No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP		
				April to Sept 2015	Octo 2015 to March, 2016	Remarks (Present Status)
		2. Appointment of State Finance Commission (SFC) and making decisions.	12 months		Yes	Accomplished
		3. Transfer of all 18 function to U LBs.	12 months		Yes	Accomplished
6	Review of Building by-laws					
		1. Revision of building bye laws periodically.	12 months		Yes	Accomplished
		2. Create single window clearance for all approvals to give building permissions.	12 months		Yes	In all Municipal Corporations area, building plan permission through single window clearance is already established. In all ULBs the system will be accomplished
7(a)	Municipal tax and fees improvement					
		1. At least 90% coverage.	12		Yes	To be accomplished
		2. At least 90% collection.			Yes	To be accomplished
		3. Make a policy to, periodically revise property tax, levy charges and other fees.			Yes	To be accomplished
					Yes	To be accomplished



SAAP Proposal by Assam

		4. Post Demand Collection Book (DCB) of tax details on the website.	months			
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Table: 5.1 SAAP-Reforms Type, Steps and			Target for AMRUT cities FY 2015-16			
Sr.No.	Type	Steps	Impleme ntation Timeline	Target to be set by states in SAAP		
				April to Sept 2015	Octo 2015 to March, 2016	Remarks (Present Status)
		5. Achieve full potential of advertisement revenue by making a policy for destination specific potential having dynamic pricing module.			Yes	To be accomplished
7(b)	Improvement in levy and collection of user charges					
		1. Adopt a policy on user charges for individual and institutional assessments in which a differential rate is charged for water use and adequate safeguards are included to take care of the interests of the vulnerable.	12 months		Yes	To be accomplished
		2. Make action plan to reduce water losses to less than 20% and publish on the website.			Yes	To be accomplished
		3. Separate accounts for user charges.			Yes	To be accomplished
		4. At least 90% billing.			Yes	It is already accomplished
		5. At least 90% collection.			Yes	It is already accomplished

Table: 5.1 SAAP-Reforms Type, Steps and Target for AMRUT cities FY 2015-16

Sr.No.	Type	Steps	Implementation Timeline	Target to be by states in SAAP		
				April to Sept 2015	Octo 2015 to March, 2016	Remarks (Present Status)
8	Energy and Water audit					
		1. Energy (Street lights) and Water Audit (including non-revenue water or losses audit).	12 months		Yes	To be accomplished
		2. Making STPs and WTPs energy efficient.			Yes	To be accomplished
		3. Optimize energy consumption in street lights by using energy efficient lights and increasing reliance on renewable energy.			Yes	To be accomplished

Reforms Type, Steps and Target for AMRUT Cities FY-2016-2017

Table 5.2: SAAP-Reforms Type, Steps and Target for AMRUT Cities FY-2016-2017								
Sr. No.	Type	Steps	Implementation Timeline	Target		set by		Remarks (present Status)
				April to Sep, 2015	Oct, 2015 to Mar, 2016	April to Sep, 2016	Oct, 2016 to Mar, 2017	
1	E-Governance	1. Coverage with E-MAAS (from the date of hosting the software)	24months		Yes			
		Registration of Birth, Death and Marriage,			Yes			Accomplished
		Water & Sewerage Charges			Yes			Accomplished
		Grievance Redressal			Yes			Accomplished
		PropertyTax			Yes			Accomplished
		Advertisement tax			Yes			Accomplished
		Issuance of Licenses			Yes			Accomplished
		Building Permissions			Yes			Accomplished
		Mutations			Yes			Accomplished
		Payroll			Yes			Accomplished
		Pension and e-procurement			Yes			Accomplished
2	Constitution and professionalization of municipal cadre	1. Establishment of municipal cadre.	24months		Yes			Accomplished
		2. Cadre linked training.			Yes			Accomplished



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3	Augmenting double entry accounting	1. Appointment of internal auditor.	24months		Yes			Accomplished
4	Urban Planning and City Development Plans	1. Make a State Level policy for implementing the parameters given in the National Mission for Sustainable Habitat.	24months				Yes	To be accomplished in prescribed time line

Table 5.2: SAAP-Reforms Type, and Target for AM RUT Cities FY-2016-2017								
Sr. No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP				
				April to Sep, 2015	Oct, 2015 to Mar, 2016	April to Sep, 2016	Oct, 2016 to Mar, 2017	Remarks (present Status)
5	Devolution of funds and functions	1. Implementation of SF recommendations with in time line.	24months				Yes	To be accomplished
6	Review of Building by-laws	1. State to formulate a policy and action plan for having a solar rooftop in all buildings having an area greater than 500 square meters and all public buildings.	24months				Yes	To be accomplished in prescribed time line
		2. State to formulate a policy and action plan for having Rainwater harvesting structures in all commercial, public buildings and new buildings on plots of 300sq. Meters and above.	24months				Yes	To be accomplished in prescribed time line
7	Set-up financial intermediary at state level	1. Establish and operationalize financial intermediary- pool finance, access external funds, float municipal bonds.	24months				Yes	To be accomplished in prescribed time line
8	Credit Rating	1. Complete the credit ratings of the ULBs.	24months				Yes	To be accomplished



SAAP Proposal by Assam

9	Energy and Water audit	1. Give incentives for green buildings (e.g. rebate in property tax or charges connected to building permission/development charges).	24months				Yes	To be accomplished in prescribed time line
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Type, Steps and Target for AMRUT Cities FY-2017-2018

Table 5.3: SAAP - Reforms Type, Steps and Target for AMRUT Cities FY-2017-2018										
Sr.No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP						Remarks (present Status)
				April to Sep, 2015	Oct, 2015 to Mar, 2016	April to Sep, 2016	Oct, 2016 to Mar, 2017	April to Sep, 2017	Oct, 2017 to Mar, 2018	
1	E-Governance	1. Personnel Staff management.	36months						Yes	To be accomplished in prescribed time line
		2. Project management.							Yes	To be accomplished
2	Urban Planning and City Development Plans	1. Establish Urban Development Authorities.	36months	Yes						Already accomplished
3	Swachh Bharat Mission	1. Elimination of open defecation.	36months						Yes	To be accomplished
		2. Waste Collection (100%),							Yes	To be accomplished in prescribed time line



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		3. Transportation of Waste (100%).							Yes	To be accomplished in prescribed time line
		4. Scientific Disposal (100%).							Yes	To be accomplished in prescribed time line

		Table 5.3: SAAP -		Type, Steps and Target for -2018						
Sr.No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP						Remarks (present Status)
				April to Sep, 2015	Oct, 2015 to Mar, 2016	April to Sep, 2016	Oct, 2016 to Mar, 2017	April to Sep, 2017	Oct, 2017 to Mar, 2018	
		5. The State will prepare a Policy for Right-sizing the number of municipal functionaries depending on, say population of the ULB, generation of internal resources and expenditure on salaries.							Yes	To be accomplished in prescribed time line



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SAAP- Reforms Type, Steps and Target for AMRUT Cities FY-2018-2019

Table 5.4: SAAP Reforms Type, Steps and Target for AMRUT Cities FY -2018 -2019													
Sr. No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP								Remarks (present Status)	
				April-15 to Sep- 15	Oct-15 to Mar-16	April-15 to Sep-16	Oct-16 to Mar-17	April-17 to Sep-17	Oct-17 to Mar- 18	April-18 to Sep-18	Oct-18 to Mar-19		
1	Urban Planning and City Development Plans	1. Preparation of Master Plan using GIS.	48 months									Yes	To be accomplished in prescribed time line

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